

SCHEDULE 1

HDR THESIS BY PUBLICATION

1 PURPOSE

To assist Higher Degree Research (HDR) candidates in the preparation of a thesis including published, co-published material or manuscripts prepared during candidature.

2 SCHEDULE

Eligible Material

A thesis by publication may include relevant papers, including conference presentations, which have been published, accepted, submitted or prepared for publication for which at least half of the research has been undertaken during enrolment. The papers must form a coherent and integrated body of work, which focusses on a single thesis project or set of related questions or propositions. These papers are one part of the thesis, rather than a separate component (or appendix).

Contribution by Co-Authors

These papers may be single author or co-authored. The candidate must specify in a Statement of Authorship and in relevant footnotes/endnotes his/her specific contribution to the conception, data collection, analysis and writing of each paper. The contribution of others to the preparation of the thesis or to individual parts of the thesis should also be specified in the thesis. Where a paper has multiple authors, the candidate should usually be the principal author and evidence of this must appear in the appropriate manner for the discipline. Examiners can then assess if the quality and extent of the candidate's contribution warrants the award of the degree based on the prescribed criteria.

Number and Presentation of Papers

Each discipline will have a different number of publications that are acceptable as the substantive foundation for a thesis by publication. As a general rule a candidate will need to have enough papers to support the important findings from the research, presented in a logical and coherent way. Most theses by publication have between 2 and 8 papers in combinations of sole and co-authored papers. These papers will normally form thesis chapters and the chronological publication order may be quite different from the way they are sequenced in the thesis.

The length of the papers will reflect discipline requirements and journal guidelines.

Integration of papers

Although it is not necessary to reformat published works in a thesis, it is not enough simply to bind these publications together. The candidate needs to include a critical introduction to the work, sections that link the papers together, and a concluding section that synthesises the material as a whole. Above all, candidates must consider the coherence of the thesis as a whole, and the way in which each paper contributes to the overall thesis. In examining a thesis by publication, examiners apply the same criteria in terms of unity of purpose and coherence of argument as for traditional theses.

Preparing for a Thesis by Publication

Candidates and supervisors should plan a thesis by publication in relation to the timetable of the individual project and the writing conventions and publishing schedules of their discipline in order to make sure that research, writing and journal submission can be undertaken within candidature time.

Although a thesis by publication may contain some repetition, it is expected that the repetition is minimal so as to facilitate the examination process. Candidates must ensure that any referencing and stylistic inconsistencies between papers are minimised to assist the examiners.

3 NOTES

3.1	Contact Officer	Pro Vice-Chancellor (Higher Degree Research Training and Partnerships)
3.2	Implementation Officer	Pro Vice-Chancellor (Higher Degree Research Training and Partnerships)
3.3	Approval Authority / Authorities	Academic Senate (Res no 20/23)
3.4	Date Approved	7 April 2020
3.5	Date of Commencement	7 April 2020
3.6	Date for Review	April 2023
3.7	Documents Superseded by this Schedule	HDR Thesis Preparation, Submission and Examination Policy approved 5 September 2017
3.8	Amendment History	20 October 2020 – amendments to Schedule 1 HDR Thesis by Publication approved by Academic Senate (Res 20/102).
3.9	Policy Authorisation	HDR Thesis Preparation, Submission and Examination Policy approved Academic Senate 7 April 2020, Res no 20/23