

# Delegations of Authority REGISTER

25 July 2023

Version 2.21



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# INTRODUCTION

## The Delegations of Authority Register

The Delegations of Authority Register (“Register”) arises from resolution of the Macquarie University Council made on 8 December 2016 in accordance with Section 17 of the Macquarie University Act 1989.

The Register must be read in conjunction with the [Delegations of Authority Policy](#) and specifically the general principles of delegation contained within that Policy.

The Register will commence on 9 January 2017 and wholly replace the register of delegations made by the Council on 5 December 2013.

The Register is amended periodically in accordance with the [Delegations of Authority Policy](#). This version is:

Version 2.21 Amended by the Finance and Facilities Committee, under the delegated authority of the Macquarie University Council, following its meeting of 25 July 2023.

The delegations in this Register revoke all previous delegations to the extent that they are inconsistent with those previous delegations. Previously delegated authority is not revoked unless it is inconsistent with this Register. Acts performed under previous delegations are not invalidated by the revocation of delegations of authority in this Register or any change to the responsible delegate and/or position description.

For the amendment history, see Annexure A.

### Interpretation

- A delegation that contains the words ‘after consultation with’, ‘after endorsement by’, or ‘on recommendation of or from’ means that the delegation should not be exercised until the required consultation, endorsement or recommendation is received and considered.
- A delegation that contains the word ‘and’ means that the delegation should not be exercised unless the required parties have provided approval jointly or severally.
- A body, committee, officer or employee position (usually in acronym form) that is separated from another body, committee, officer or employee position by an oblique line ( / ) means that the body, committee, officer or employee position have equal status with respect to that delegation (e.g. VC/DVC).
- Where a delegate is required to possess special qualifications or training this is noted.

# PRINCIPLES

The [Delegations of Authority Policy](#) specifies the following principles which must be applied when referring to the Delegations of Authority Register.

## Delegation

- (a) A Delegation relates to the Delegate's office, or position, not to the individual in that position. Similarly, where a Delegation is to a body by reference to the body's title, it relates to the body acting as a body, not to individual members of the body.
- (b) A person duly appointed as a temporary or acting occupant of an office has the authority Delegated to the position in which they are acting, unless otherwise specified in the Delegations of Authority Register.
- (c) A Delegation to a position or to a body, if the position or the body is abolished, has a change in name or a change in structure, is to be regarded as referring to the successor to the functions of that position or body.
- (d) Unless the context requires otherwise or unless otherwise specified (for example, Band C+ or Band D+ approval levels):
  - i) a Delegation applies only to a Delegate's accountability area; and
  - ii) the Delegation to approve includes the Delegation to rescind, vary or terminate.
- (e) A specific or particular Delegation will prevail over a general Delegation.
- (f) If the exercise of a function requires the exercise of some preliminary, incidental or ancillary administrative function, then the Delegation extends to the exercise of that function.
- (g) In the event of any inconsistency between a policy approved by Council or a resolution of Council which predates the Delegations of Authority Register, the Register prevails to the extent of the inconsistency.
- (h) A Delegate who is ultimately subject to the direction and supervision of the Vice-Chancellor through established lines of accountability is, in the exercise of Delegations, also subject to the direction and supervision of Delegates more senior than the Delegate in the lines of accountability.
- (i) Delegates more senior in the lines of accountability to a Delegate named in the Delegations of Authority Register may exercise a Delegation conferred on that named Delegate but only in accordance with its terms.
- (j) In exercising a function, a Delegate may seek appropriate advice in order to be properly informed. However, the Delegate must exercise the Delegation without any undue influence by any other body, committee, officer or employee.

## Delegate band approval levels

- (a) In this Policy, the following band approval levels apply:

Band	Members
Band A++	Council
Band A+	Finance and Facilities Committee (F&FC)
Band A	Vice-Chancellor
Band B	Members of the Executive Group
Band C+	For whole of University financial expenditure and procurement expenditure: Deputy Group CFO For whole of University procurement expenditure: Chief Procurement Officer For whole of University information technology expenditure: CIDO For whole of University property and facilities expenditure: Executive Director, Property For operating expenditure and capital expenditure included in the annual budget of the University Library: University Librarian

Band C	Unit heads who report to members of the Executive Group (excluding Heads of Department and Heads of Schools), and the General Counsel
Band D+	In their area of responsibility: Procurement Category Managers
Band D	Those identified by a Band C member as requiring such Delegation (i.e. Academic Level E / HEW 10)
Band E	Those identified by a Band C member as requiring such Delegation (i.e. Academic Level D or C / HEW 9 or 8)
Band F	Those identified by a Band C member as requiring such Delegation (i.e. Academic Level B or A / HEW 7 or 6)

(b) In relation to Financial Expenditure Delegations and procurement Delegations:

- the Vice-President, Finance and Resources (Band B), and the Deputy Group CFO (Band C+), may act on behalf of any organisational unit of the University in the absence of the Delegate, having verified due diligence of the procurement process.

(c) In relation to procurement Delegations:

- the Chief Procurement Officer may act on behalf of any organisational unit of the University in the absence of the Delegate for an expenditure of less than or equal to \$1m (Band C+), following a due diligence process; and
- Procurement Category Managers may act in the absence of the Delegate for a procurement process specific to their area of responsibility for an expenditure of less than or equal to \$250k (Band D+), following a due diligence process.

(d) In relation to information technology Delegations:

- the Chief Information and Digital Officer (CIDO) may act on behalf of any organisational unit of the University in the absence of the Delegate for an expenditure of less than or equal to \$1m (Band C+), following a due diligence process.

(e) In relation to property and facilities Financial Expenditure Delegations:

- the Executive Director, Property may act on behalf of any organisational unit of the University in the absence of the Delegate for an expenditure of less than or equal to \$1m (Band C+), following a due diligence process.

(f) In relation to library Financial Expenditure Delegations:

- the University Librarian may act on operating expenditure and capital expenditure included in the Annual Budget of the University Library in the absence of the Delegate for an expenditure of less than or equal to \$1m (Band C+), following a due diligence process.

### **Signing of documents**

- (a) A Delegate may sign any document which is necessary to give effect to their function or authority, including a legally-binding agreement (other than deeds), except where the authority to execute is specifically delegated to others in the Delegations of Authority Register. If a Delegate is a committee or other body and the body has authority to execute a document, the document may be executed by:
- i) the chair or their nominee; or
  - ii) if there is no chair, a person whom the body resolves may execute the contract on behalf of the body.
- (b) Notwithstanding the above, only employees and officers appointed under a formal Power of Attorney may execute deeds.

### **Calculating cost of a transaction**

In exercising a Delegation with respect to a transaction, the Delegate must estimate the cost of the transaction as a whole, over the term of the transaction. For the purpose of making this calculation, the following principles apply:

- (a) a transaction must not be assessed in components or parts, such as instalments, tranches, or the cost of individual items;
- (b) the total gross cost of the transaction (excluding GST) must be estimated; and
- (c) no reduction may be made in respect of any set-off, trade-in or the like.

### **Authorised Agents**

Delegates identified in section 2 (Finance and Property) of the Delegations of Authority Register are not permitted to authorise another officer or employee of the University to exercise their function as an Authorised Agent.

For Delegations listed in the remaining sections of the Delegations of Authority Register and where appropriate, the Delegate is permitted to authorise another officer or employee of the University to exercise their function as an Authorised Agent for or on their behalf if it is impracticable for the Delegate to act otherwise than through others, subject to the following:

- (a) the authorisation may be general or limited;
- (b) the authority must be given in writing signed by the Delegate and may be revoked in whole or in part in writing signed by the Delegate;
- (c) the Authorised Agent must exercise the authority in accordance with any requirements stated in the Delegations of Authority Register;
- (d) the Authorised Agent may, in exercise of that function, exercise any other administrative function that is preliminary, incidental or ancillary to the authorised function;
- (e) the Delegate remains responsible for ensuring that the authority is exercised properly by the Authorised Agent;
- (f) a function duly exercised by an Authorised Agent is deemed to have been exercised by the Delegate;
- (g) if a particular officer or the holder of a particular office is authorised to perform the function:
  - i) the authorisation does not cease to have effect merely because the person who was the particular officer or the holder of a particular office when he or she was authorised to perform the function ceases to be that officer or hold that office; and
  - ii) the person for the time being occupying or acting in the office concerned is taken to be the Authorised Agent; and
- (h) the Delegate may still perform a function even if they have appointed an Authorised Agent to do so.

Delegates will normally only appoint an Authorised Agent to act on their behalf where permitted, for a single exercise or in the short term. Refer to the Delegations of Authority Procedure for detail.

### **Conflict of interest**

- (a) A Delegation or authority cannot be exercised where the Delegate or Authorised Agent has a conflict of interest. This includes, in particular, where the Delegate or Authorised Agent would obtain a personal benefit of some material kind.
- (b) Delegates and Authorised Agents must not approve matters including their own appointment, remuneration, payment or reimbursement, promotion, transfer or secondment, travel, absence or termination.
- (c) Delegates and Authorised Agents must not approve matters relating to family members and/or others with whom they have a close personal relationship.
- (d) In the circumstances outlined in 5.6 (a) – (c) the conflicted Delegate or Authorised Agent must refer the decision to their supervisor and must not exercise the Delegation or authority without the approval of their supervisor.



# ACRONYMS, TERMS AND WORDS

## Definition of Acronyms, Words and Terms Used within the Document

<b>Acronyms</b>	
CIDO	Chief Information and Digital Officer
CPO	Chief People Officer
CSRO	Chief Student Recruitment Officer (Global and Domestic)
Deputy Group CFO	Deputy Group Chief Financial Officer
DVCA	Deputy Vice-Chancellor (Academic)
DVCMH	Deputy Vice-Chancellor (Medicine and Health)
DVCR	Deputy Vice-Chancellor (Research)
DVC	Deputy Vice-Chancellors
F & F	Finance and Facilities Committee
General Counsel	University General Counsel
HDR	Higher Degree Research
HR	Human Resources
IT	Information Technology
MQU	Macquarie University
PVC	Pro Vice-Chancellor
PVC GR	Pro Vice-Chancellor (Graduate Research)
PVC RS	Pro Vice-Chancellor (Research Services)
PVC RIE	Pro Vice-Chancellor (Research, Innovation and Enterprise)
RP	Reserved Powers of Council and Council committees
Registrar	University Registrar
VC	Vice-Chancellor
VPFR	Vice-President, Finance and Resources
VPPS	Vice-President, Professional Services
VPSPP	Vice-President, Strategy, Planning and Performance

<b>Terms and words as used in this document</b>	
Head of Office	Heads of all units reporting directly to a DVC/VPPS/VPFR/VPSPP as defined by the University organisation chart, and the General Counsel
Executive Group	Vice-Chancellor, Deputy Vice-Chancellors, Executive Deans, Vice-President Finance and Resources, Vice-President Professional and Services, Vice-President Strategy, Planning and Performance
Faculty	An administrative grouping of research, academic and professional staff and students based on the area they teach, support and study, established under the Reserved Powers of Council. The University's Faculties are: the Faculty of Arts, Faculty of Science and Engineering, Faculty of Medicine, Health and Human Sciences, and the Macquarie School of Business

# 1. CORPORATE, LEGAL AND COMMERCIAL

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

## General

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
1.1	Establish policies, procedures, schedules & guidelines and be responsible for subsequent compliance in the following areas:				
	Academic matters	Academic Senate		<a href="#">Policy Framework Policy</a>	Record on policy file and publish on Policy Central
	Campus life	VPFR			
	Corporate engagement	DVCR			
	Advancement	VC			
	Alumni	VPSPP			
	Marketing and communication	VPPS			
	Finance & Property	VPFR			
	Human Resources	VPPS			
	Information Technology	VPPS			
	International activities	VPPS			
	Legal and Privacy	General Counsel			
	Library	DVCA			
	Policies for records capture, release & destruction, including archiving & access	General Counsel	Records and Archives Manager		
Quality Assurance	DVCA				
Research & Higher Degree Research administration	DVCR				
Risk and compliance	VPFR (Risk) General Counsel (Compliance)				
Schools, preschools, day care centres and clinics sitting within Faculties	Relevant Executive Dean after consultation with other appropriate members of Executive Group				
Workplace Health and Safety	VPFR				
Student administration and student wellbeing	DVCA (in conjunction with DVCR for HDR students)				
Policies which fall outside the above categories	VC				
1.2	Approve Policy on Intellectual Property	Council following endorsement by VC		<a href="#">Intellectual Property Policy</a>	Report to Council

## Corporate and Governance

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
1.3	Upon a resolution of Council to affix the University seal to a document, affix and attest the affixing of the seal to that document	One of the Chancellor/Deputy Chancellor/VC/ or any one of the DVCs together with the Registrar		<a href="#">Macquarie University Act</a> <a href="#">Macquarie University By-law</a>	Record in minutes of Council
1.4	Approve establishment, naming, reorganisation and closure of senior management portfolios, offices, departments, institutes and university centres; and reorganisation of faculties	VC VC after consultation with DVCR where centre or institute has a primary research focus			Report to Council
1.5	Approve establishment, naming, reorganisation and closure of centres and units within faculties	VC on recommendation from Executive Dean/ DVCA and after consultation with DVCR where centre or unit has a primary research focus		<a href="#">Faculty Research Centres Policy</a>	Record on file
1.6	Approve establishment or participation in (whether by means of debt, equity contribution of assets or by other means):				
	Companies (excluding MQU controlled entities) and other entities	VC/DVC and VPFR		<a href="#">Macquarie University Act</a>	Report to Council/Committee of Council
	Off-shore companies and other entities off-shore	VC/DVC and VPFR			
	Joint ventures (including cooperative teaching arrangements)	DVC after consultation with VPFR			
Non-binding Memoranda of Understanding	and if appropriate CSRO				
1.7	Develop, lead and implement MQU Strategic Plan	VC		<a href="#">Macquarie University Act</a> RP5	Report to Council
1.8	Approve Faculty Strategic Plans	VC following endorsement by Executive Dean			Report to Council for review on a periodic basis

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
1.9	Appoint University representatives to outside bodies	VC/DVC/ VPPS		<a href="#">Macquarie University By-law</a>	Record on file
1.10	Appoint a person as a proxy of the University to exercise shareholders rights and obligations*  <i>*This delegation may only be exercised in accordance with any requirements of the Controlled Entities Policy</i>	VC		<a href="#">Corporations Act 2001</a> <a href="#">Controlled Entities Policy</a>	Record on file
1.11	For companies in which Access Macquarie Limited holds a shareholding, exercise shareholder rights and obligations, including appointing a person as a proxy	AMQ Board			Report to VC for subsequent report to Council via the appropriate Committee of Council
1.12	Receive and act on public interest disclosures	Chancellor/VC/VPFR /VPPS		<a href="#">Reporting Wrongdoing - Public Interest Disclosures Policy</a>	Record on file
1.13	Approve Sport Blues Awards	VPFR on recommendation of Campus Life Blues Committee			Record on student file and in Committee Minutes

## Legal

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
1.14	Accept service of legal documents	General Counsel			Record on file
1.15	Approve commencement of legal proceedings and approve settlement of legal proceedings, following consultation with the office holder with delegated authority to approve the settlement documents:				Record on file
	Employee relations	Chief People Officer			
	Other proceedings	VC on the recommendation of the General Counsel			
1.16	Appoint external lawyers	General Counsel VPPS for industrial legal matters			Record on file

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
1.17	Approve MQU standard form of contracts, deeds, instruments and dealings	General Counsel		<a href="#">Procurement Policy</a> and <a href="#">ProcureRight Instructions</a>	Record on file
1.18	Execute contracts, instruments and dealings:				
	In MQU standard form contract without amendment	Staff according to financial delegations in the context of the <a href="#">Procurement Policy</a> and <a href="#">ProcureRight Instructions</a> and <a href="#">Supplier Contracts Policy</a>		<a href="#">Supplier Contracts Policy</a> <a href="#">Procurement Policy</a> and <a href="#">ProcureRight Instructions</a>	Record on file
Non-standard contracts, instruments and dealings or amended MQU standard contracts, instruments and dealings	Staff according to financial delegations in the context of the <a href="#">Supplier Contracts Policy</a> and after consultation with Solicitors from the Office of General Counsel				
1.19	Execute deeds, leases (or any other real property instruments), agreements and contracts	Any one of VC/ any DVC/ VPFR/ Vice-President Professional Services/Registrar/General Counsel/Chief People Officer acting under power of attorney (as informed, where appropriate, by the delegate nominated in any other relevant paragraph in this Delegations of Authority Register)			Report to Council

## Commercial

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
1.20	Maintain a Register of Commercial Activities	VPFR			Report to Council
1.21	Approve and execute applications for Private and University Consulting for:				
	Academic Staff	Relevant member of Executive Group		<a href="#">Private Outside Work and University Consultancy Policy</a>	Record on file
	Professional Staff				
Members of Executive Group	VC				
1.22	Direct staff member to cease Private and University Consulting for:				
	Academic Staff	Relevant member of Executive Group		<a href="#">Private Outside Work and University Consultancy Policy</a>	Record on file
	Professional Staff				
Members of Executive Group	VC				
1.23	Establish University Clinics	VC		<a href="#">University Clinics Policy</a>	Report to Council

Other delegations relevant to this section: RP2, RP5, RP12, RP13, RP16, RP18, RP19, RP20, RP21, RP22

Definition of terms used in this section:

**Centres and units within faculties** – an activity or group within a faculty that bears the name ‘Centre’ or activity or group that has its own letterhead or website or relates directly to the public (i.e. not only through the faculty)

**Controlled entity** – a person, group of persons or body of which the University or the Council has control within the meaning of the Government Sector Finance Act 2018

**Private and University Consulting** – Private consulting is undertaken by a member of staff as a private provider. University consulting is via contract between MQU and a client. Both types of consulting require prior permission of the University

**Register of Commercial Activities** – A listing of all University Commercial Activities as specified in the [Macquarie University Act](#)

**Reorganisation** – addition and/or subtraction of elements that does not make a fundamental change to the larger organisational unit

**Senior management portfolios** – the offices and other units that are the management responsibility of a member of the Executive

**University Clinic** – a clinic providing services such as health (e.g. medical, audiology, speech pathology, psychology and physiotherapy) to the community, with accompanying opportunities for teaching and research

**University representative to an outside body** – appointment based on a formal request from a significant community or government organisation in which the appointee represents the University; a University representative to an outside body does not act as the representative of the University when discharging their duties on the body (e.g. as a Director)

## 2. FINANCE AND PROPERTY

This section specifies who has delegated authority to make key financial decisions. The delegations must be read in conjunction with the Guidelines on Commercial Activities (under review), as required by section 21B of the Macquarie University Act, 1989 noting that the Delegations of Authority Register is the source of authority for financial expenditure delegation limits.

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

In accordance with the [Delegations of Authority Policy](#), Delegates are not permitted to appoint an Authorised Agent for the exercise of any financial expenditure delegations listed in this section of the Delegations of Authority Register.

### General

Ref	Function	Delegate	References	Reporting and Recording Requirements
2.1	Approve policies on finance and property	VPFR	<a href="#">Policy Framework Policy</a>	Report to Council
2.2	Approve changes to Finance and Property Delegations of Authority	F & F		Record in minutes of F & F
2.3	Undertake quarterly review of:			
	University performance against budget University investments	F & F		Record in minutes of F & F

### Own Expenditure

Ref	Function	Delegate	References	Reporting and Recording Requirements
2.4	Delegates must not approve the incurring of, or the reimbursement of their own expenditures or advances even if it falls within their delegation limit. Such expenditure must be approved by a more senior line manager.	All Delegates	<a href="#">Supplier Contracts Policy</a>	Record on file

## Standard Expenditure Delegations

Note: Financially commit the University and/or incur debts or liabilities for the University not exceeding the amounts indicated for any single transaction, that is, for the total of each individual order or commitment subject to approved budget limits.

Ref	Function	Delegate (Band Approval Level)	Band Approval Level Members	Delegate Limit	References	Reporting and Recording Requirements
2.5	This includes the authority to execute contracts, instruments and dealings (other than deeds) and other documents on behalf of the University under conditions set by the Contract Management Policy (and the conditions to which delegation 1.18 refers).	A++	Council	>\$50M		Report to the next meeting of F & F where a standard expenditure delegation is exercised by Band Approval Level A (VC) for expenditure between \$5m and \$10m.
		A+	F & F	≤\$50M		
		A	VC	≤\$10M		
		B	Members of the Executive Group	≤\$2M		
	Delegated limits include: the sum of the face value of the contract; the value of any embedded guarantees and indemnities; and the value of all future options at the University's discretion.  Any variation to a contract must be approved by the original Delegate unless the value of the original contract plus the variation exceeds the Delegate's Limit. In which case, the variation must be approved by the Delegate with the appropriate Limit.  <b>In accordance with the Delegations of Authority Policy, Delegates are not permitted to appoint an Authorised Agent for the exercise of any financial expenditure delegation.</b>	C+	<ul style="list-style-type: none"> <li>For whole of University Financial and Procurement expenditure: Deputy Group CFO</li> <li>For whole of University Procurement expenditure: Chief Procurement Officer</li> <li>For whole of University Information Technology expenditure: CIDO</li> <li>For whole of University Property and Facilities expenditure: Executive Director, Property</li> <li>For operating expenditure and capital expenditure included in the annual budget of the University Library: University Librarian</li> </ul>	≤\$1M		Record in minutes of Council, or F & F, or record on file
		C	Unit heads who report to members of the Executive Group (excluding Heads of Department and Heads of Schools), and the General Counsel	≤\$250,000		
		D+	In their area of responsibility: Procurement Category Managers	≤\$250,000		
D	Those identified by a Band C member as requiring such delegation (i.e. Academic Level E / HEW 10)	≤\$100,000				



		E	Those identified by a Band C member as requiring such delegation (i.e. Academic Level D or C / HEW 9 or 8)	≤\$50,000		
		F	Those identified by a Band C member as requiring such delegation (i.e. Academic Level B or A / HEW 7 or 6)	≤\$5,000		

Notes:

(a) In relation to financial and procurement delegations:

- the Vice-President, Finance and Resources (Band B), and the Deputy Group CFO (Band C+), may act on behalf of any organisational unit of the University in the absence of the Delegate, having verified due diligence of the procurement process.

(b) In relation to procurement delegations:

- the Chief Procurement Officer may act on behalf of any organisational unit of the University in the absence of the Delegate for an expenditure of less than or equal to \$1m (Band C+), following a due diligence process; and
- Procurement Category Managers may act in the absence of the Delegate for a procurement process specific to their area of responsibility for an expenditure of less than or equal to \$250k (Band D+), following a due diligence process.

(c) In relation to information technology delegations:

- the Chief Information and Digital Officer (CIDO) may act on behalf of any organisational unit of the University in the absence of the Delegate for an expenditure of less than or equal to \$1m (Band C+), following a due diligence process.

(d) In relation to property and facilities expenditure delegations:

- the Executive Director, Property may act on behalf of any organisational unit of the University in the absence of the Delegate for an expenditure of less than or equal to \$1m (Band C+), following a due diligence process.

(e) In relation to library Financial Expenditure Delegations:

- the University Librarian may act on operating expenditure and capital expenditure included in the Annual Budget of the University Library in the absence of the Delegate for an expenditure of less than or equal to \$1m (Band C+), following a due diligence process.

## Restricted Expenditure Delegations

Note: Financially commit the University and/or incur debts or liabilities for the University not exceeding the amounts indicated for any single transaction, that is, for the total of each individual order or commitment subject to approved budget limits.

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.6	Approve payment of recurring services and utilities and those payments that are required by legislation. In particular: staff payroll, group tax, superannuation, other deductions.  Each transaction requires joint approval from the delegate list	VPPS/ Chief People Officer/ Executive Director, Shared Services/Head of HR Shared Services/ Manager, Employee Relations, HR/ Manager, HR Client Services/ Group Manager, Payroll Operations, HR/ Manager, HR Systems and Application Support	≤22M per fortnightly payroll run for the months of January to November  ≤25M per fortnightly payroll run for the month of December		Record on file
2.7	Approve payment of coupon and interest in respect of the University's debt facilities	VC	Unlimited		Record on file
		VPFR / Deputy Group CFO	≤\$14M		
2.8	Approve payment to controlled entities	F & F	Unlimited		Record in minutes of F & F
		VC	≤\$10M		Record on file
		VPFR / Deputy Group CFO	≤\$5M		
		Director, Financial Control / Director, Finance Shared Services / Director, Financial Operations, Tax and Treasury / Chief Procurement Officer / Director, Financial Performance and Business Partnering	≤\$3M		

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.9	Approve purchase requisitions for payments to non-lead research institutions, as detailed in signed research grant Multi-Institutional Agreements	VPFR	≤\$10M		Record on file
		Deputy Group CFO	≤\$5M		
2.10	Approve and execute research agreements that are associated with Australian Competitive Grants (and may include MQU funding and/or other MQU resources including staff time)	VC	>\$10M		Record on file
		DVCR	≤\$10M		
		PVC RS	≤\$2M		
		Director, Research Grants and Awards, in consultation with CIDO if an assessment of data management, integration, protection and governance is required	≤\$1M		
2.11	Approve and execute research agreements other than those associated with Australian Competitive Grants, (and may include MQU funding and/or other MQU resources including staff time)	VC	>\$10M		Record on agreement file
		DVCR	≤\$10M		
		PVC RS / PVC RIE	≤\$2M		
		Director, Research Grants and Awards / Director, Partnerships, in consultation with CIDO if an assessment of data management, integration, protection and governance is required	≤\$250,000		
2.12	Approve and execute research grant application and tender submissions (that may involve MQU funding and/or other MQU resources including staff time)	VC	>\$10M		Record on file
		DVCR	≤\$10M		
		PVC RS / PVC RIE	≤\$2M		
		Director, Research Grants and Awards / Director, Partnerships, in consultation with CIDO if an assessment of data management, integration, protection and governance is required	≤\$250,000		

<b>2.13</b>	Approve variations to central University funding for a research activity	DVCR	>\$2M		Record on file
		PVC RS / PVC RIE	≤\$250,000		Report to DVCR and Record on file
		Director, Research Grants and Awards / Director, Partnerships	≤\$100,000		
<b>2.14</b>	Approve grant applications and execute associated agreements in relation to the University's Enterprise Partnership Scheme and Intellectual Property Development Fund	PVC RIE	≤\$100,000		Record on agreement file
<b>2.15</b>	Approve on-payment of funds received under the Higher Education Support Act 2003 from the Commonwealth and the State Governments to the State Authorities Superannuation Trustee Corporation Scheme Administrators.	VPFR / VPPS	≤\$40M		Record on file

## Major Property Capital Works

Note: Financially commit the University and/or incur debts or liabilities for the University not exceeding the amounts indicated for any single transaction, that is, for the total of each individual order or commitment subject to approved budget limits.

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.16	Change previously approved projects greater than \$50M by 10% subject to a cap of \$5M	F & F			Record in minutes of F & F

## Property

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.17	Approve disposal of property off campus within the limitations of the MQU Act	F & F			Record in minutes of F & F
2.18	Review periodic report from Executive Director, Property on operational matters, including but not limited to:				
	Sustainability initiatives, including waste management Energy and water consumption	F & F			Record in minutes of F & F
2.19	Approve execution of a lease; or licence of 6 months or more, over University-owned premises where rent receipts over the total lease period: (In this section, 'rent receipts' means the first year's rental income amount multiplied by the number of years of the term, excluding option periods.) Note: The University may not grant a lease of University lands acquired from the NSW government for a term greater than 21 years without the consent of the Minister				
	\$10M and above	F & F			Record in minutes of F & F
	Do not exceed \$10M	VC			Record on file
	Do not exceed \$5M	VPFR			
	Do not exceed \$1M	Deputy Group CFO/ Executive Director, Property			

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.20	Approve execution of a lease; or licence of 6 months or more, over non-University premises where rent payments over the total lease or licence term: (In this section 'rent payments' means the first year's rental amount multiplied by the number of years of the term, excluding option periods.)				
	\$10M and above	F & F			Record in minutes of F & F
	Do not exceed \$10M	VC			Record on file
	Do not exceed \$5M	VPFR			
	Do not exceed \$1M	Deputy Group CFO / Executive Director, Property			

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.21	Approve acquisition and transfer of property.	Council	>\$50M		Record in minutes of Council
	Note: Delegate limit for acquisition refers to purchase price and for disposal the net book value	F & F	≤\$50M		Report to Council
		VC	≤\$3M		Report to F & F
		VPFR	≤\$2M		
		Executive Director, Property	≤\$150,000		

2.22	Periodically report to F & F on operational matters, including but not limited to:				
	Sustainability initiatives including waste management Energy and water consumption	Executive Director, Property			Record in minutes of F & F

## Non-Property Capital Asset Write-Off and Disposal

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.23	Approve Capital Asset Management <a href="#">Policy</a>	VPFR		<a href="#">Non-Current Assets Procedure</a>	Report to Council
2.24	Approve the disposal of a non-property capital asset within area of responsibility with a Net Book Value		In accordance with financial expenditure delegations specified in delegation 2.5	<a href="#">Non-Current Assets Procedure</a>	Report to VPFR
2.25	Write-off assets and debts	VPFR	Unlimited but must inform F & F of Council		Report to F & F
2.26	Write-off salary over-payments	VPFR	Unlimited but must inform F & F of Council		Report to F & F

## Student Loans

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.27	Approve student loans	Director, Finance Shared Services / Manager, Accounts Receivable	≤\$2,000 per loan subject to annual budget limit >\$2,000 per loan on approval of Student Loan Committee	<a href="#">Student Loans and Grants Policy</a>	Record on file
2.28	Approve payment plans for tuition fees	VPFR		<a href="#">Tuition Fees and Charges Rules</a>	Record on file

## Investment Funds

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.29	Approve policy on investment of funds	F & F		<a href="#">Investment and Treasury Risk Management Policy</a>	Report to Council
2.30	Engage and terminate the use of external fund managers	F & F			Record in minutes of F & F
2.31	Approve investment of funds categorised to an Internal Fund other than Operating Funds, in accordance with the Investment and Treasury Risk Management Policy. Each transaction requires two approvers from the delegate list, one of whom must have a delegation limit greater than the transaction value.	VC	Unlimited	<a href="#">Investment and Treasury Risk Management Policy</a>	Report to F & F
		VPFR	≤\$10M		
		Deputy Group CFO	≤\$7.5M		
		Director, Financial Control	≤\$7.5M		
		Director, Finance Shared Services	≤\$7.5M		
		Director, Financial Operations, Tax and Treasury	≤\$7.5M		
		Chief Procurement Officer	≤\$7.5M		
	Director, Financial Performance and Business Partnering	≤\$7.5M			
	Approve investment of funds by Access Macquarie Limited categorised to an Internal Fund (sub-category Long-term investments in Higher Education sector and MQU spin- offs), as defined and governed by the Investment and Treasury Risk Management Policy, and subject to the equity investment not leading to a new Controlled Entity, as defined in the Controlled Entities Policy (and consistent with the Delegations of Authority Policy Reserved Powers of Council and Council Committees RP17).	Council	>\$50m	<a href="#">Delegations of Authority Policy</a>	Record in minutes of Council
		F&F	≤ \$50m	Reserved Powers of Council and Council Committees <a href="#">Investment and Treasury Risk Management Policy</a> <a href="#">Controlled Entities Policy</a>	Record in minutes of F & F and report to Council
VC		≤\$10M	<a href="#">Investment and Treasury Risk Management Policy</a>	Report to next meeting of F & F	
AMQ Board		≤\$1.0M	<a href="#">Controlled Entities Policy</a>	Report to VC for subsequent report to F & F	



Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.32	Approve the transfer of Operating Funds (as defined in the Investment and Treasury Risk Management Policy) between the University's accounts with institutions listed in Appendix 2 of the Investment and Treasury Risk Management Policy to assist with the working capital management of the University. Each transaction requires two approvers from the delegate list, one of whom must have a delegation limit greater than the transaction value	VC	Unlimited	<a href="#">Investment and Treasury Risk Management Policy</a>	Record on file
		VPFR	≤\$40M		
		Deputy Group CFO	≤\$20M		
		Director, Financial Control	≤\$20M		
		Director, Finance Shared Services	≤\$20M		
		Director, Financial Operations, Tax and Treasury	≤\$20M		
		Chief Procurement Officer	≤\$20M		
		Director, Financial Performance and Business Partnering	≤\$20M		

## Insurance

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.33	Approve policy on insurable risk	VPFR		<a href="#">Insurable Risk Policy</a>	Report to Council

## Fees and Charges

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.34	Approve annual schedule of tuition fees	VC on recommendation of Revenue and Load Committee		<a href="#">Tuition Fees and Charges Rules</a>	Report on MQU website and in minutes of committee
2.35	Waive or approve a refund of tuition fees for a domestic student	DVCA / Registrar			Record on student file
2.36	Approve fees refund for:				
	Full-fee-paying international student in coursework and non-award programs	VPFR / CSRO		<a href="#">International Fee Refund Policy</a>	Record on student file
	Full-fee-paying international HDR candidates	PVC GR			
2.37	Determine appeal against refund of fees for:				
	Full-fee-paying international student in coursework and non-award programs	DVCA		<a href="#">International Fee Refund Policy</a>	Record on student file
	Full-fee-paying international HDR candidates	DVCR			

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.38	Determine rates for fines and penalties	Executive Group on recommendation of Director-Property, University Librarian/CIDO/DVCA as relevant			Report on MQU website and record on file
2.39	Determine rates for parking	Executive Group on recommendation of Director-Property			Report on MQU website and record on file
2.40	Determine rates for goods and services:				
	Managed by or provided by a member of Executive Group	Executive Group member whose area of accountability is providing service			Report on MQU website and record on file
	Managed by or provided by the University Librarian	University Librarian			

## Banking and Debt

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.41	Open and close bank accounts (including styles, cheque signatories, signature for internal authorisations for electronic transfers, payment and receipting processes) and credit card facilities	VPFR/Deputy Group CFO			Record on file
2.42	Approve transactions on behalf of the University under the Central Treasury Agreement with controlled entities  Note: as per delegation 2.31	VC	Unlimited		Record on file
		VPFR	≤\$10M		
		Deputy Group CFO	≤\$7.5M		
		Director, Financial Control	≤\$7.5M		
		Director, Finance Shared Services/ Director, Financial Operations, Tax and Treasury/ Chief Procurement Officer/ Director, Financial Performance and Business Partnering	≤\$7.5M		
2.43	Approve bank and similar guarantees, including letters of credit, on behalf of the University and controlled entities	F & F	>\$10M		Record on file
		VC and VPFR	≤\$10M		
		VPFR	≤\$7.5M		

Ref	Function	Delegate	Delegate Limit	References	Reporting and Recording Requirements
2.44	Approve all new borrowing agreements and capital-raising activities (excluding leasing)	Council on the advice of F & F	Unlimited, but incremental debt must not cause a breach of gearing ratios or interest cover as stipulated in the <a href="#">Investment and Treasury Risk Management Policy</a>		Record on file
2.45	Approve changes (e.g. duration, fees, and interest rates) to the terms and conditions of an existing borrowing agreement authorised by Council. This does not extend to changes in the overall borrowing limit approved by Council. For the avoidance of doubt this does not include hedging arrangements, which require separate approval from F & F	VC and VPFR	Unlimited		Report to F & F and Council
2.46	Approve transactions (e.g. drawdowns and repayments) permitted under an existing borrowing agreement authorised by Council	VC and VPFR	Unlimited		Report to F & F and Council
		VPFR	≤\$50M		

## Facilities

Ref	Function	Delegate	References	Reporting and Recording Requirements
2.47	Determine rates for facilities hire	VPFR	<a href="#">Facilities Hire Policy</a> <a href="#">Facilities Usage Policy</a> <a href="#">Core Business Hours Policy</a>	Report on MQU website and record on file

## University Travel

Ref	Function	Delegate	References	Reporting and Recording Requirements
2.48	Approve University Travel on terms set out in Travel Policy:			
	Member of Council	VC	<a href="#">Travel Policy</a>	Record on file
	VC	Chancellor/Deputy Chancellor		
	DVC	VC or acting VC If not available, then a DVC or the VPFR as per Travel Policy		
	Executive Dean/Head of Office	DVC/VPFR/VPPS/VPSP		
Academic and Professional Staff	Executive Dean/Head of Office			

Other delegations relevant to this section: 1.1, RP6, RP7, RP8, RP27, RP28, RP29, RP30, RP31, RP32, RP33, RP34

Definition of terms used in this section:

**Contract** – University purchase order or any other document having the effect (when signed or approved) of committing the University to the expenditure of its funds

**Controlled entity** – a person, group of persons or body of which the University or the Council has control within the meaning of the Government Sector Finance Act 2018

**Goods and services** -materials and services for which the University charges a fee, such as teaching materials, copy and print services, sporting equipment and access to sporting facilities, access to major infrastructure, laboratories and equipment

**GST** –Delegation limits referred to are GST exclusive

**Purchase order** – a type of contract that has the effect (when signed or approved) of committing the University to the expenditure of its funds

### 3. INFORMATION TECHNOLOGY

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

#### Policy

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
3.1	Approve policies in relation to Information Technology	VPPS		<a href="#">Policy Framework Policy</a>	Report to Council

#### Infrastructure

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
3.2	Provide and maintain core IT infrastructure and resources. Approve or deny device attachments and network connections	CIDO		<a href="#">Acceptable Use of IT Resources Policy</a> <a href="#">Cyber Security Policy</a> <a href="#">Computer and Network Security Procedure</a>	
3.3	Approve or deny changes to any IT production systems on the recommendation of the business system owner	IT Change Advisory Board			Record in change management system
3.4	Approve any system or data interface or integration to any IT production system	Director of Infrastructure and Applications, IT			Record in change management system
3.5	Develop and implement any measures to mitigate strategic IT risks	CIDO			Report to Audit and Risk Committee by CIDO
3.6	Approve digital certificates and domain name registrations	Director of Infrastructure and Applications, IT			Record in change management system

## Information Security

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
3.7	Take necessary action to ensure the integrity, continuity and security of University IT systems and services, institutional data and information assets	CIDO		<a href="#">Acceptable Use of IT Resources Policy</a> <a href="#">Cyber Security Policy</a>	Report to VPPS
3.8	Approve commissioning, operation or decommissioning of any outsourced and cloud-sourced storage, computing and service and execute relevant agreements	CIDO according to financial expenditure delegations in the context and of the <a href="#">Procurement Policy</a> and <a href="#">ProcureRight Instructions and Supplier Contracts Policy</a>		<a href="#">Cyber Security Policy</a>	Report to VPPS
3.9	Authorise action in relation to information security requests in the context of the Information Security Procedures (Code Yellow)	CIDO, Chief People Officer, General Counsel and/or DVCA in conjunction or alone, depending on the nature of the incident		<a href="#">Cyber Security Policy</a> <a href="#">Acceptable Use of IT Resources Policy</a> <a href="#">Staff Code of Conduct</a> <a href="#">Student Code of Conduct</a>	Report to VPPS Report by VPPS to VC at discretion of VPPS
3.10	Restrict email, network or software application threats and malware	Director of Infrastructure and Applications, IT		<a href="#">Cyber Security Policy</a> <a href="#">Acceptable Use of IT Resources Policy</a>	Record on file
3.11	Restrict, prioritise, shape or otherwise alter internet and intranet data and voice flows	CIDO		<a href="#">Acceptable Use of IT Resources Policy</a> <a href="#">Cyber Security Policy</a>	Record on file

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
3.12	Review, link, interface, audit or publish the University's institutional data	CIDO		<a href="#">Cyber Security Policy</a>	
3.13	Initiate, conduct, approve and review decisions related to privacy legislation such as Privacy Impact Assessments pertaining to students	General Counsel after consultation with relevant members of Executive Group and other areas such as IT, Risk and Assurance	Compliance and Privacy Manager	<a href="#">Privacy Policy</a>	

Other delegations relevant to this section: 1.1, 2.5, 6.2, 6.4, 9.18, 9.20

Definition of terms used in this section:

**Code Yellow** – an Information Security Procedure and mechanism to manage information security action to protect computing and information systems in relation to account lockout or extension, surveillance, privacy breach and/or law/regulatory enforcement

**Digital campus** – the virtual extension of Macquarie University and its entities into electronic space, including but not limited to internet and intranet networks, web systems, social networks, eResearch, teaching and learning technologies, organisational interconnections and all other digital resources and assets

**Digital certificate** – an electronic document that uses an electronic signature to bind a public cryptography key with an identity – information such as the name of a person or an organization, their address, and the like. The certificate can be used to verify that a public key belongs to an individual

**Digital production system** – Digital campus assets that form part of the line of business daily operations of Macquarie University. This scope embraces but is not limited to all software application or voice or data network elements that are used:

- by a majority of any of the three campus populations (students, academia, staff)
- by the general public to interact with the university
- for any financial transactions
- to ensure the integrity or reputation of the university
- to interconnect with supply chain partners, for example: University Admissions Centre, OUA, and AARNET
- to hold any University information of record or secure intellectual property
- in critical operations (like safety, life support, power, water, cooling and communication)

## 4. HUMAN RESOURCES

This section confirms who has delegated authority to make key human resources (HR) decisions. The original sources of authority for HR decisions are:

- Council; and
- Macquarie University Enterprise Agreements.

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 6-8 above).

The following principles governing the exercise of delegation apply specifically to this section:

- in the case of inconsistency with the authorities defined in this Delegations of Authority Register and the Macquarie University Enterprise Agreements (Enterprise Agreements), provisions specified in the Enterprise Agreements prevail;
- the Delegations of Authority Register clarifies the authority for a majority of key decisions but is not an exclusive statement of all HR authority. Some delegations of authority may be stated in other resolutions of the Council and in other policies and procedures;
- the Delegations of Authority Register contains only the relevant authority(ies) for various HR decisions. It does not articulate the procedural requirements that need to be addressed prior to the authority considering the decisions. The procedural requirements are specified in the relevant policies and procedures;
- in instances where the delegate is Member of Executive Group/Head of Office/Faculty Executive Director, the delegation is limited to the relevant portfolio;
- if the delegate considers a decision to be particularly sensitive or complex, the delegate is encouraged to refer the decision to the Chief People Officer; and
- where it is unclear as to who has the authority to make a HR decision, in the first instance the authority will reside with the Chief People Officer.

### Policy

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.1	Approve new and amended HR policies	VPPS			
	Approve new and amended HR policies relating specifically to the academic workforce	VPPS after consultation with DVCA and DVCR and Academic Senate		<a href="#">Policy Framework Policy</a>	Report to Council

### Position Classification

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.2	Approve classification of professional staff position descriptions	Manager Employee Relations		<a href="#">Position Classification for Professional Staff Policy</a> <a href="#">Professional EA</a>	Record on file
4.3	Determine classification appeal for Professional staff	Chief People Officer		<a href="#">Position Classification for Professional Staff Policy</a> <a href="#">Professional EA</a>	Record on file



## Recruitment, Selection and Appointment

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.4	Grant approval to recruit for continuing and fixed term positions	Member of Executive Group/Head of Office/Faculty Executive Director			
4.5	<p>Approve appointment to continuing and fixed-term positions: (Where appointments are made across faculties/offices, approvals are required from delegates from all respective work areas. If academic staff are appointed to offices only the relevant member of the Executive Group has delegated authority to approve appointment).</p>				
	VC	Council		<a href="#">Recruitment, Selection and Appointment Procedure</a>  <a href="#">Academic EA</a> <a href="#">Professional EA</a>	
	Members of Executive Group	VC			
	Pro Vice-Chancellor	Relevant member of Executive Group			
	Head of Department	Executive Dean			
	Academic level A-E	Executive Dean			
	Professional positions above HEW 10	Relevant member of Executive Group			
	Professional positions HEW 1-10	Relevant member Executive Group/Head of Office/Faculty Executive Director			
	Macquarie University College Teaching positions	Head of Office			
4.6	<p>Make an offer of employment to:</p>				
	Vice-Chancellor	VPPS		<a href="#">Recruitment, Selection and Appointment Procedure</a>  <a href="#">Academic EA</a> <a href="#">Professional EA</a>	
	Members of the Executive Group	Chief People Officer			
	Pro Vice-Chancellor	Chief People Officer			
	Head of Department	Head, HR Client Services			
	Academic levels D and E	HR Manager			
	Academic levels A-C	HR Consultant/HR Officer			
	Professional positions above HEW 10	Senior Employee Relations Consultant			
	Professional positions HEW 10	HR Manager			
	Professional positions HEW 1-9	HR Consultant/HR Officer			
	Macquarie University College Teaching positions	HR Consultant/HR Officer			

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.7	Negotiate and approve variations to terms and conditions of offer (excluding salary loadings) for:				
	VC	Chancellor		<a href="#">Recruitment, Selection and Appointment Procedure</a>	
	Members of the Executive Group	VC			
	PVC	Relevant member of the Executive Group			
	Academic Levels A-E	Executive Dean			
	Professional positions above HEW 10	Relevant member of the Executive Group			
	Professional positions HEW 1-10	Relevant member of Executive Group/Head of Office/Faculty Executive Director			
Macquarie University College Teaching positions	Head of Office				
4.8	Issue variation letter to:				
	VC	VPPS			
	Members of the Executive Group	Chief People Officer			
	PVC	Chief People Officer			
	Head of Department	Head HR Client Services			
	Academic Levels D-E	HR Manager			
	Academic Levels A-C	HR Consultant/HR Officer			
	Professional positions above HEW 10	Senior Employee Relations Consultant			
	Professional positions HEW 10	HR Manager			
	Professional positions HEW 1-9	HR Consultant/HR Officer			
Macquarie University College Teaching positions	HR Consultant/HR Officer				
4.9	Negotiate and approve specific terms and conditions of appointment above or outside Enterprise Agreement entitlements	Chief People Officer			
4.10	Review and determine appeal against non-appointment from an internal candidate	Chief People Officer		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file

## Probation

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.11	Confirm employment during period of probation for:				
	Academic Staff	Relevant member of Executive Group/Head of Office/Faculty Executive Director on recommendation from the staff member's immediate supervisor		<a href="#">Academic EA</a>	Record on file
	Professional Staff			<a href="#">Professional EA</a>	
Macquarie University College Teaching Staff			<a href="#">Probation Procedure</a>		
4.12	Terminate employment during or at the end of period of probation for:				
	Academic Staff	Chief People Officer based on determination of Executive Dean for academic staff and Manager for professional staff		<a href="#">Academic EA</a>	Record on file
	Professional Staff			<a href="#">Professional EA</a>	
Macquarie University College Teaching Staff			<a href="#">Probation Procedure</a>		
4.13	Determine the outcome of a probation appeal for an academic staff member	Chief People Officer		<a href="#">Academic EA</a> <a href="#">Probation Procedure</a>	Record on file
4.14	Approve extension of probation period in exceptional circumstances where a staff member took a period of approved leave longer than 4 weeks	Chief People Officer		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Probation Procedure</a>	Record on file

## Outside Work

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.15	Approve applications for outside work for which a staff member derives a monetary benefit (except where this is part of any University consultancy) by:				
	Members of the Executive Group	VC		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Private Outside Work and University Consultancy Policy</a>	Record on file
	Professional staff above HEW 10	Relevant member of Executive Group			
	Academic Staff	Executive Dean			
	Professional staff HEW 1-10	Relevant member of Executive Group / Executive Dean/Head of Office			
Macquarie University College Teaching Staff					
4.16	Direct a staff member to cease all involvement in outside work with a monetary value	Relevant member of Executive Group/Head of Office		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Private Outside Work and University Consultancy Policy</a>	Record on file

## Leave

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.17	Approve leave for a staff member (except Leave Without Pay and Special Leave)	Supervisor		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Parental/Partner's Leave Policy</a> <a href="#">Leave Policy</a>	Record on file
4.18	Approve Leave Without Pay for 12 months or less	Relevant member of Executive Group/Head of Office/Faculty Executive Director		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Leave Policy</a>	Record on file
4.19	Approve Leave Without Pay in excess of 12 months for:				
	Academic Staff	Chief People Officer after consultation with DVCA		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Leave Policy</a>	Record on file
	Professional Staff	Chief People Officer			
	Macquarie University College Teaching Staff				

4.20	Approve Special Leave	Chief People Officer		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Leave Policy</a>	Record on file
4.21	Direct a staff member to clear accumulated annual leave and long service leave	Chief People Officer or Deputy Director, HR after consultation with relevant member of Executive Group / Head of Office / Faculty Executive Director		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Leave Policy</a>	Record on file

## Academic Promotion

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.22	Approve promotion for an academic staff member to:				
	Levels B and C	Executive Dean		<a href="#">Academic EA</a> <a href="#">Academic Promotion Policy</a> <a href="#">Academic Promotion Procedure</a>	Record on file and in report
	Level D	DVCA			
	Level E	VC			
4.23	Issue letter effecting promotion	Chief People Officer		<a href="#">Academic Promotion Policy</a> <a href="#">Academic Promotion Procedure</a>	Record on file
4.24	Determine appeal against an academic promotion decision	VC on recommendation from Academic Promotion Appeals Committee		<a href="#">Academic Promotion Policy</a> <a href="#">Academic Promotion Procedure</a>	Record on file and in report
4.25	Approve applications for:				
	Special leave to waive a specific general rule or requirement for academic promotion	Chief People Officer, DVCA, and DVCR, on recommendation from Executive Dean		<a href="#">Academic Promotion Policy</a> <a href="#">Academic Promotion Procedure</a>	
Out-of-round promotion					

## Performance Management

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.26	Withhold incremental progression in accordance with Enterprise Agreement	Chief People Officer on recommendation of supervisor		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Incremental Progression Policy</a> <a href="#">Incremental Progression Procedure</a>	Record on file
4.27	Approve accelerated progression for:	Relevant member of Executive Group/Head of Office		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Incremental Progression Policy</a> <a href="#">Incremental Progression Procedure</a>	Record on file
	Academic Staff				
	Professional Staff				
	Macquarie University College Teaching Staff				
4.28	Take disciplinary action for unsatisfactory performance in accordance with the Enterprise Agreements	Chief People Officer		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file

## Salary and Payments

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.29	Approve setting a new salary loading or increasing an existing salary loading	Chief People Officer on recommendation from member of Executive Group/Head of Office/Faculty Executive Director		<a href="#">Salary Loadings Policy</a> <a href="#">Salary Loadings Procedure</a>	Record on file
4.30	Approve continuation of existing salary loadings	Relevant member of Executive Group/Head of Office/Faculty Executive Director		<a href="#">Salary Loadings Policy</a> <a href="#">Salary Loadings Procedure</a>	Record on file
	Discontinue salary loadings				
4.31	Approve Higher Duties Allowance for a period up to 12 months	Head of Department/Head of Office/Faculty Executive Director		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file
	Approve Higher Duties Allowance for a period exceeding 12 months	Chief People Officer			
4.32	Approve responsibility allowance where Higher Duties Allowance does not apply	Chief People Officer		<a href="#">Salary Loadings Policy</a> <a href="#">Salary Loadings Procedure</a>	Record on file
4.33	Approve payment of bonuses for:				
	VC	Chancellor			Record on file
	Member of Executive Group	VC			
Other academic or professional staff	Chief People Officer on recommendation of relevant member of Executive Group/Head of Office				

## Academic Workload Management

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.34	Approve faculty workload model	Executive Dean		<a href="#">Academic EA</a>	
4.35	Approve individual workload allocation	Head of Department		<a href="#">Academic EA</a>	

## Flexible Work

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.36	Approve requests for variation of fraction for academic, professional, and Macquarie University College teaching staff	Head of Department/Head of Office/Faculty Executive Director		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Flexible Work Policy</a> <a href="#">Flexible Work Procedure</a>	
4.37	Approve requests for variable working hours scheme for professional staff	Supervisor		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Flexible Work Policy</a> <a href="#">Flexible Work Procedure</a>	
4.38	Approve requests for home-based working arrangements	Supervisor		<a href="#">Academic EA</a> <a href="#">Professional EA</a> <a href="#">Flexible Work Policy</a> <a href="#">Flexible Work Procedure</a>	

## Change Management

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.39	Approve change proposals	VPPS after consultation with Executive Group		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	
4.40	Approve an exchange of positions ('job swap') between a staff member being retrenched and another continuing staff member who has indicated they may accept a voluntary redundancy	Chief People Officer or Manager Employee Relations		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	



Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.41	Approve re-employment of a staff member who has received a voluntary redundancy or retrenchment package within one year of the last day of duty	Chief People Officer or Manager Employee Relations		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	

## Staff Complaint Resolution

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.42	Determine final steps in complaint resolution process and conclude process in accordance with the Complaint Management Procedure for Staff	Chief People Officer		<a href="#">Complaint Management Procedure for Staff</a>	Record on file

## Misconduct and Discipline

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.43	Suspend a staff member with or without pay for alleged misconduct in accordance with procedures specified in the Enterprise Agreements	VC		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file
4.44	Take disciplinary action against a staff member for misconduct, including termination, following a specified procedure	DVC		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file
4.45	Terminate a staff member not covered by an Enterprise Agreement	Chief People Officer on recommendation from a relevant member of Executive Group			Record on file
4.46	Determine the outcome of misconduct investigation following a Misconduct Investigation Report	DVC or equivalent		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file

## Separation

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.47	Approve terms of employment termination settlement, including pre-retirement contracts for: VC	Chancellor			Record on file
	Member of Executive Group	VC			
	All other academic and professional staff positions	Chief People Officer			
	Approve or decline voluntary redundancy application	Chief People Officer or Manager Employee Relations		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	
4.48	Declare positions redundant and terminate the employment of staff covered by the Enterprise Agreement on the grounds of redundancy	Chief People Officer		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file
4.49	Declare positions redundant and terminate the employment of staff not covered by the Enterprise Agreement on the grounds of redundancy	Chief People Officer after consultation with the VC		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file
4.50	Approve redundancy or retrenchment decision following an escalation to the redundancy review process	Relevant DVC		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file
4.51	Termination on the grounds of incapacity	Chief People Officer		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file
4.52	Termination on the grounds of unsatisfactory performance	Chief People Officer		<a href="#">Academic EA</a> <a href="#">Professional EA</a>	Record on file

## Honorary Academic Titles

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.53	Approve conferral of honorary academic titles (includes Honorary, Visiting, Adjunct and Conjoint categories):				
	All Honorary, Visiting, Clinical, Conjoint titles and Adjunct Fellow title	Relevant Executive Dean or relevant DVC for non-Faculty areas		<a href="#">Honorary Academic Titles Policy</a>	Record on file
	Adjunct Professor title	VC			
4.54	Renew honorary academic titles:				
	All Honorary, Visiting, Clinical, Conjoint titles and Adjunct Fellow title	Relevant Executive Dean or relevant DVC for non-Faculty areas		<a href="#">Honorary Academic Titles Policy</a>	Record on file
	Adjunct Professor title	VC			
4.55	Approve progression to a higher level (except for Conjoint title holders)	Executive Dean or relevant DVC for non-Faculty areas		<a href="#">Honorary Academic Titles Policy</a>	
4.56	Approve progression via the University academic promotion process for Conjoint title holders to the following levels:				
	Levels B and C	Executive Dean		<a href="#">Honorary Academic Titles Policy</a>	
	Level D	DVCA			
	Level E	VC		<a href="#">Academic Promotion Policy</a>	
4.57	Withdraw honorary academic titles:				
	All Honorary, Visiting, Clinical and Conjoint categories	Executive Dean or relevant DVC for non-Faculty areas after consultation with Chief People Officer		<a href="#">Honorary Academic Titles Policy</a>	
Adjunct Professor title	VC after consultation with Executive Dean or relevant DVC for non-Faculty areas and Chief People Officer				

## Distinguished Professor Title

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
4.58	Approve appointment as Distinguished Professor	VC		<a href="#">Award of Distinguished Professor Policy</a>	Record in minutes of Committee and report to Council

Other delegations relevant to this section: 1.1, RP11, RP22, RP23, RP25, RP26

## 5. ACADEMIC MATTERS

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

### General

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.1	Approve policies on academic matters	Academic Senate		<a href="#">Academic Senate Rules</a>	Report to Council
5.2	Approve the annual Academic Year Plan	DVCA following consultation with Academic Senate			Publish on MQU website and disseminate within MQU

## Academic Award Course Offerings

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.3	<p>Approve the establishment* (internal accreditation), disestablishment (discontinuation) or amendment of:</p> <ul style="list-style-type: none"> <li>a degree, diploma, certificate or other award course, including approve course and award rules and academic requirements to be offered by MQU or by a third party provider, including the insertion or removal of majors, specialisations and designated minors</li> <li>groupings of units as majors, specialisations, derived minors, or designated minors</li> </ul>	<p>Academic Senate</p> <p>*in the case of establishment of a degree, diploma, certificate or other award course, the recommendation to Academic Senate will be considered following approval of the Business Case by the VC</p>		<p><a href="#">Academic Senate Rules</a></p>	<p>Report to Council</p>
5.4	<p>Approve popular double combinations of:</p> <ul style="list-style-type: none"> <li>two undergraduate degrees</li> <li>two postgraduate degrees</li> </ul>	Academic Senate			Record in Academic Senate minutes
5.5	Approve the amendment of a degree, diploma, certificate or other award course where this includes or excludes a unit as an elective unit within an option set of a course	Relevant Faculty Board/Macquarie University College Board, following consultation with any other Faculties that offer a unit within the option set			Record in Faculty Board/Macquarie University College Board minutes and report to Academic Senate
5.6	<p>Approve the waiving of course requirements for individual students in award courses relating to:</p> <ul style="list-style-type: none"> <li>the volume of learning requirements; and</li> <li>the minimum amount of study required at MQU under the RPL Policy</li> </ul>	Academic Senate			Report to Academic Senate by the Completions Unit

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.7	<p>Approve the waiving of course requirements for individual students in award courses that <u>do not</u> relate to:</p> <ul style="list-style-type: none"> <li>the volume of learning requirements</li> <li>the minimum amount of study required at MQU under the RPL Policy</li> </ul>	Relevant Faculty Board/Macquarie University College Board			Record in Faculty Board/Macquarie University College Board minutes and report to Academic Senate
5.8	<p>Approve amendments within the groupings of units in an approved major or specialisation, in accordance with the Curriculum Architecture Policy, including:</p> <ul style="list-style-type: none"> <li>the total number of essential units required;</li> <li>the inclusion or exclusion of a specific unit as an essential unit</li> <li>the total number of elective credit points required to be completed.</li> </ul>	Relevant Faculty Board			Record in Faculty Board minutes and report to Academic Senate
5.9	Approve the establishment and amendment of course specific Inherent Requirements for courses delivered by the Faculty/Macquarie University College Board	Relevant Faculty Board/Macquarie University College Board			Record in Faculty Board/Macquarie University College Board minutes and report to Academic Senate
5.10	Approve Work-Integrated Learning arrangements for units	Relevant Faculty Board/Macquarie University College Board			Record in Faculty Board/Macquarie University College Board minutes and report to Academic Senate
5.11	Approve amendments to the learning outcomes of a major or specialisation in a course	Academic Senate following recommendation by the relevant Faculty Board			
5.12	Approve amendments to the number of credit points required to be completed at each unit level in a major or specialisation in a course	Academic Senate following recommendation by the relevant Faculty Board			
5.13	Approve amendments to course availability in a given year for commencing students or continuing students seeking to course transfer	Relevant Faculty Board/Macquarie University College Board			Record in Faculty Board/Macquarie University College Board minutes and report to Academic Senate

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.14	Approve and amend prerequisites for degrees, diplomas, certificates and other award courses	Academic Senate		<a href="#">Academic Senate Rules</a>	Record in Academic Senate minutes
5.15	Approve and amend requisite requirements for units, including pre-requisites and co-requisites and Not to Count for Credit With units	Relevant Faculty Board/Macquarie University College Board			Record in Faculty Board/Macquarie University College Board minutes and report to Academic Senate
5.16	Determine a Faculty's/The College's unit offerings, including the approval of new units and the amendment, renewal or disestablishment of existing units, with the exception of:	Relevant Faculty Board/Macquarie University College Board		<a href="#">Faculty Board Terms of Reference</a> Macquarie University College Board Terms of Reference	Record in Faculty Board/Macquarie University College Board minutes
	Approving the designation of a unit as PACE or Capstone	Academic Senate	Academic Standards and Quality Committee		Record in minutes of Academic Standards and Quality Committee and report to Academic Senate
5.17	Approve annual enrolment load planning for:				
	Domestic students	VC on recommendation of Revenue and Load Committee			Record in minutes of Revenue and Load Committee meeting
	International students				
HDR students					

## Non-Award Offerings

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.18	Approve offering of short courses, workshops, study tours and other non-award courses	Executive Dean/Managing Director Access Macquarie			Record on file

## Student Enrolment and Progression

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.19	Determine minimum rate of progress in a course of study	Academic Senate		<a href="#">Academic Progression Policy</a>	Record in Academic Senate minutes
5.20	Deem one unit as equivalent to another unit completed by a student	Executive Dean/Director Macquarie University College		<a href="#">General Coursework Rules</a>	Record on student file



Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.21	Approve final grade for a unit of study, including a change of academic grade after ratification	Relevant Faculty Board		<a href="#">Faculty Board Terms of Reference</a>	Record in Faculty Board minutes Record on student file

## Prizes and Scholarships

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.22	Determine the requirements for the award of the University Medal	Academic Senate			Record in Academic Senate minutes
5.23	Approve the award of a University Medal within the requirements	DVCA			Record in Academic Senate minutes Record on student file
5.24	Determine conditions for prizes and scholarships except philanthropic scholarships:				
	University-wide	Academic Senate			Record in Academic Senate minutes
	Specific to a Faculty	Relevant Faculty Board			Record in Faculty Board minutes
	Specific to an Office	Relevant Head of Office			Record on file
5.25	Approve award of Vice-Chancellor's Commendation for an Outstanding Thesis	Research and Research Training Committee			Report to Academic Senate

## Higher Degree Research

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
5.26	Appoint a Principal Supervisor and at least one other Supervisor to an HDR student	Executive Dean		<a href="#">Higher Degree Research Supervision Policy</a>	Record on student file
5.27	Approve appointment as Adjunct Supervisor	Executive Dean		<a href="#">Higher Degree Research Supervision Policy</a>	Record on student file

Other delegations relevant to this section: RP4, RP23, RP24, RP40, 1.1, 6.1, 6.10, 7.1, 7.9, 7.15, 7.16, 7.17

Definition of terms used in this section:

**Academic Year Plan** – Defined as the academic sessions, including teaching and University vacations

## 6. STUDENT ADMINISTRATION AND STUDENT SERVICES

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

### General

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.1	Approve annual Academic Calendar	DVCA	Registrar		Publish on University website
6.2	Approve release of student information	Registrar	Director Student Administration	<a href="#">Release of Student Information Policy</a>	Record on student file
6.3	Approve exceptions to the <a href="#">Student Survey Policy</a>	DVCA and for surveys on non-academic matters after consultation with the Registrar	Registrar	<a href="#">Student Survey Policy</a>	Record approval on survey
6.4	Approve broadcast communications to students	DVCA and for communications on non-academic matters after consultation with the Registrar	Registrar for communications on academic matters		Record approval on communication
6.5	Application of reasonable adjustment for students with a disability	Registrar	Associate Director Student Wellbeing	<a href="#">Disability Discrimination Act 1992</a> <a href="#">Disability Standards for Education 2005</a> <a href="#">Disability Services Act 1993</a> <a href="#">Anti-Discrimination Act 1977 (NSW)</a>	Record on student file

## Admission

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.6	Approve admission of:				
	International and domestic Higher Degree Research candidates, including Joint Research Degrees and Cotutelle	DVCR		<a href="#">Admission Policy</a>	
	Domestic students to coursework and non-award programs	DVCA	Registrar		
International students to coursework and non-award programs	DVCA	CSRO			
6.7	Approve procedures to defer enrolment for:				
	International and domestic Higher Degree Research candidates, including Joint Research Degrees and Cotutelle	DVCR		<a href="#">Deferment of a Course Offer Procedure</a>	
	Coursework students	Registrar	Director of Student Administration		
International coursework students	DVCA	CSRO			

## Enrolment

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.8	Approve transfer of a student from one coursework course to another in accordance with approved requirements	Registrar	Director of Student Administration	<a href="#">Course Transfer (Undergraduate Coursework) Policy</a>	Record on student file

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.9	Approve recognition of learning: For coursework courses	Registrar	Director of Student Administration Faculty Boards are authorised to: 1. approve RPL for non-formal and informal learning for admission 2. approve unspecified credit for designated PACE units (including for articulation arrangements)	<a href="#">Recognition of Prior Learning Policy</a> <a href="#">Assessing Recognition of Prior Learning Applications Procedure</a>	Record on student file
	For Macquarie University College programs	Foundation Program Manager (Foundation Programs)			

## Prizes and Scholarships

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.10	Approve acceptance of scholarships and prizes, except philanthropic scholarships, for coursework students	>\$30,000 Manager Coursework Scholarships and Prizes			

## Progression

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.11	Determine academic standing for coursework students	Registrar		<a href="#">Academic Progression Policy</a>	Record on student file
6.12	Suspend a coursework student for failing to meet academic progression requirements	Registrar		<a href="#">Academic Progression Policy</a>	Record on student file
6.13	Exclude a coursework student for failing to meet academic progression requirements	Registrar		<a href="#">Academic Progression Policy</a>	Record on student file
6.14	Exclude a coursework student for failing to meet professional or clinical course requirements	Registrar		<a href="#">General Coursework Rules</a>	Record on student file

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.15	Advise the termination of candidature of a Higher Degree Research candidate	DVCR on recommendation of Executive Dean		<a href="#">Higher Degree Research Rules</a>	Record on student file

## Completion

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.16	Determine that requirements for a coursework degree have been satisfied	Registrar			Record on student file
6.17	Determine that requirements for a Higher Degree Research award have been satisfied	Research and Research Training Committee			Record on student file and record in Committee minutes
6.18	Determine content of Academic Transcripts and course completion documentation	Registrar			Record on file

## Appeals

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.19	Receive an academic appeal	Registrar		<a href="#">Academic Appeals Policy</a>	Record on student file
6.20	Establish a Panel to hear an Academic Appeal	Registrar		<a href="#">Academic Appeals Policy</a>	Record on student file and record in minutes of panel meeting
6.21	Determine appeals against Withdrawal Without Academic Penalty	Registrar	Director of Student Administration		Record on student file

## Complaints and Grievances

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.22	Receive and manage formal complaints from students and members of the public	Registrar		<a href="#">Complaints Resolution Policy for Students and Members of the Public</a>	Record on Central Register

## Student Discipline

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.23	Receive and assess:				
	Allegations of research misconduct by HDR candidates	DVCR		<a href="#">Student Conduct Procedure</a>	Record on student file
	All other allegations of student misconduct or misconduct by Student Organisations	Registrar			
6.24	Establish a Student Conduct Committee to manage any allegation of student misconduct	Registrar		<a href="#">Student Conduct Procedure</a>	Record on student file
6.25	Determine findings in relation to and apply sanctions for student misconduct	Student Conduct Committee		<a href="#">Student Conduct Procedure</a>	Record on student file, record in minutes of meeting
6.26	Revoke an award as the result of serious misconduct	Student Conduct Committee		<a href="#">Student Conduct Procedure</a>	Record on student file, record in minutes of meeting
6.27	Suspend or ban a student from campus as a result of an allegation of serious misconduct	Registrar		<a href="#">Student Conduct Procedure</a>	Record on student file
6.28	Remove a student for disruptive behaviour	Registrar		<a href="#">Student Conduct Procedure</a>	Record on student file
6.29	Establish a Student Conduct Appeal Committee	Registrar		<a href="#">Student Conduct Procedure</a>	Record in minutes of meeting
6.30	Determine if a student can appeal against a decision of a Student Conduct Committee	Registrar		<a href="#">Student Conduct Procedure</a>	Record on student file
6.31	Hear appeals against decisions made by a Student Conduct Committee	Student Conduct Appeal Committee		<a href="#">Student Conduct Procedure</a>	Record on student file

## Student Organisations

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
6.32	Approve student organisation election codes of conduct and rules	Registrar		<a href="#">Student Election Rules</a>	Publish on Student Representative Committee website
6.33	Approve the Constitution of the Student Representative Committee	Council			Record in minutes of Council
6.34	Determine expenditure of the Student Amenities Fees and ensure compliance with legislative obligations	DVCA in conjunction with Registrar and VPFR		<a href="#">Student Services and Amenities Act 2011</a>	
6.35	Approve amendments to the Constitution of the Student Representative Committee	Student Experience Committee			Record in minutes of Student Experience Committee and report to Council

Other delegations relevant to this section: RP4, RP24, 1.1, 2.45, 2.46, 2.47, 5.1, 7.1

Definition of terms used in this section:

**Academic Calendar** – the annual calendar of academic administrative and fee dates, examination periods, census dates, deadlines and closing dates.

**Recognition of prior learning** – a process that involves assessment of an individual's relevant prior learning (including formal, informal and non-formal learning) to determine eligibility for admission to an award and/or the granting of credit

## 7. RESEARCH AND HIGHER DEGREE RESEARCH ADMINISTRATION

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

### Policy

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.1	Approve policies on research and higher degree research administration	DVCR		<a href="#">Policy Framework Policy</a> <a href="#">Macquarie University Code for the Responsible Conduct of Research</a>	Report to Council

### Research Administration

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.2	Establish and dis-establish: University Research Centres and Institutes	VC on recommendation from DVCR		<a href="#">Faculty Research Centres Policy</a>	Report to Council
	Faculty Research Centres	VC after consultation with DVCR and on recommendation from Executive Dean			Report to VC
7.3	Approve alteration to schedule of charges set out in <a href="#">Research Overheads and Infrastructure Costs Policy</a>	DVCR		<a href="#">Research Overheads and Infrastructure Costs Policy</a>	Record on file
7.4	Approve submission of Commonwealth Government reports in relation to research and higher degree research	DVCR			Record on file



Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.5	Approve procedures and guidelines for welfare of animals used for scientific purposes	Animal Ethics Committee		<a href="#">Animal Use for Scientific Purposes Policy</a> <a href="#">Animal Ethics Committee Terms of Reference</a> <a href="#">State Animal Research Legislation</a> <a href="#">Australian Code for the Care and Use of Animals for Scientific Purposes</a>	Annual report from AEC to the DVCR
7.6	Responsibility for overall institutional governance with respect to the care and use of animals	DVCR		<a href="#">Animal Use for Scientific Purposes Policy</a> <a href="#">State Animal Research Legislation</a> <a href="#">Australian Code for the Care and Use of Animals for Scientific Purposes</a>	Reports to state and territory government bodies and record on file
7.7	Issue Animal Research Authorities to conduct research or teaching involving animals, subject to any approvals or conditions recommended by the Animal Ethics Committee	Chair, Animal Ethics Committee (or in their absence, Deputy Chair)		<a href="#">Animal Use for Scientific Purposes Policy</a> <a href="#">Animal Ethics Committee Terms of Reference</a> <a href="#">State Animal Research Legislation</a> <a href="#">Australian Code for the Care and Use of Animals for Scientific Purposes</a>	Annual report from AEC to the DVCR

## Research Agreements

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.8	Approve participation in research arrangements that involve:				
	Establishment of a MQU controlled entity	Council on advice of DVCR			Record in minutes of Council
	MQU involvement in an incorporated entity with other parties	VC			Record on file and report to Council
	Establishment of an entity off-shore that does not meet the definition of a controlled entity	VC on advice of DVCR and VPPS and VPSPP			
7.9	Approve research and higher degree research agreements with commercial and non-commercial partners that are:				
	Involving off-shore partner	DVCR after consultation with VPPS and VPSPP			Record on agreement file
	Non-funded	DVCR/ PVC RIE / PVC RS / Director, Commercialisation and Innovation			
	Funded (including monetary and/or other MQU resources including staff time)	Refer to Restricted Expenditure Delegations section – Clauses 2.10-2.13			
	International and domestic PhD arrangements, including Cotutelle and joint PhD	Academic Senate			
	Confidentiality or Non-Disclosure Agreements	Director, Commercialisation and Innovation/ PVC RIE			
	Material Transfer Agreements	Director, Commercialisation and Innovation/ PVC RIE			
	Royalty License Agreements	Director, Commercialisation and Innovation/ PVC RIE			
Approve grant applications and execute associated agreements in relation to the University's Enterprise Partnership Scheme and Intellectual Property Development Fund	Refer to Restricted Expenditure Delegations section – Clause 2.15				

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.10	Approve and execute agreements assigning or granting rights over University owned IP to third parties (outgoing IP)	DVCR/ PVC RIE, on advice from Director, Commercialisation and Innovation		<a href="#">Intellectual Property Policy</a>	Record on agreement file
	Approve and execute agreements assigning or granting rights over third party-owned IP to the University (incoming IP)	Director, Commercialisation and Innovation			Record on agreement file

## Research Applications

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.11	Approve submission of research grant applications and tender submissions that involve:				
	Funding from University sources	DVCR			Record on research grant file
	In kind contribution	PVC RS			
7.12	Approve participation in clinical trials	DVCR on recommendation from relevant Executive Dean			Report to Audit and Risk Committee at discretion of DVCR
7.13	Approve applications for registration, maintenance and prosecution (including abandonment) of intellectual property	DVCR			Record on file
7.14	Maintenance and prosecution of Intellectual Property	Director, Commercialisation and Innovation			Record on file and report to DVCR

## Research Scholarships

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.15	Approve allocation of:	DVCR			Record on student file
	Internal HDR scholarship				
	External HDR scholarship (funded or co-funded)				
7.16	Submit HDR report to external scholarship funding agencies	Pro Vice-Chancellor, Graduate Research			Record on student file

## Internal Research Funding

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.17	Approve central University funding for research or HDR activities	DVCR			Record on file

## Research Ethics

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.18	Approve the use of animals for scientific purposes	Animal Ethics Committee		<a href="#">Animal Use for Scientific Purposes Policy</a> <a href="#">Animal Ethics Committee Terms of Reference</a>	Annual report from AEC to the DVCR and Report according to external requirements and record on file
7.19	Approve conduct of research involving humans	MQU Human Research Ethics Committee(s)		Macquarie University Human Research Ethics Statement <a href="#">National Statement on Ethical Conduct in Human Research</a> <a href="#">Human Research Ethics Policy</a>	Report according to external requirements and record on file
7.20	Review applications for research with biohazardous material (including Genetically Modified Organisms)	Institutional Biosafety Committee		<a href="#">Biosafety and Biosecurity Policy</a>	Report according to external requirements and record on file

## Staff Research

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
7.21	Approve Outside Studies Program and Fellowship	Executive Dean		<a href="#">Outside Studies Program Policy</a>	Record on file
7.22	Determine appeal against approval of Outside Studies Program and/or Fellowship	Outside Studies Appeal Committee		<a href="#">Outside Studies Program Policy</a>	Record in minutes of Committee
7.23	Appointment as “Designated Person” for the purposes of any complaint or allegation concerning a breach of the <a href="#">Australian Code for the Responsible Conduct of Research</a>	DVCR		<a href="#">Macquarie University Code for the Responsible Conduct of Research</a> <a href="#">Australian Code for the Responsible Conduct of Research</a>	Record on research integrity file
7.24	Approve appointment as Adjunct Supervisor	Executive Dean/Dean		<a href="#">Higher Degree Research Supervision Policy</a> <a href="#">Higher Degree Research Supervision Procedure</a>	Record on student file
7.25	Specific roles and responsibilities of the DVCR as set out in the <a href="#">Macquarie University Code for the Responsible Conduct of Research</a>	Director, Research Ethics and Integrity		<a href="#">Macquarie University Code for the Responsible Conduct of Research</a>	Report to Council

Other delegations relevant to this section: 1.1, 1.2, 5.18, 5.27, 5.28, 6.6, 6.19, 6.20, 6.21, 6.29, 6.30, 6.31

Definition of terms used in this section:

**Cotutelle** – a simultaneous enrolment in a PhD at Macquarie and one of its partner universities that will enable a candidate to submit one thesis for joint recognition

## 8. LIBRARY, MUSEUMS AND COLLECTIONS

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

### Library

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
8.1	Approve policies in relation to the Library	DVCA on recommendation from the University Librarian		<a href="#">Policy Framework Policy</a>	Report to Council
8.2	Approve procedures and schedules in relation to Library policies	University Librarian			Report to DVCA

### Museums and Collections

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
8.3	Approve the establishment or disestablishment of a museum or collection	DVCA	University Librarian		Report on MQU website and record on file
8.4	Approve policy in relation to museums and collections	DVCA	University Librarian		Report to Council

Other delegations relevant to this section: 1.1

Definition of terms used in this section:

**Museums and collections** – Museums, art galleries and designated collections of artefacts, including works of art, that may be available to the public and subject to curating

## 9. MARKETING, FUNDRAISING, ALUMNI AND COMMUNICATION

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

### Policy

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
9.1	Approve policies in relation to: <ul style="list-style-type: none"> <li>Corporate Engagement</li> <li>Advancement</li> <li>Group Marketing</li> <li>Domestic Student Recruitment</li> </ul>	DVCR VC VPPS VPPS		<a href="#">Policy Framework Policy</a>	Report to Council

### Marketing and Branding

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
9.2	Approve style of University name and logo	VC			Record on file
9.3	Approve Shared Identity Guide	VPPS		<a href="#">Brand Identity Guidelines</a>	Record on file
9.4	Approve choice of public internet domain names and URLs	Chief Marketing Officer			Report to CIDO and record on file
9.5	Approve information architecture of Macquarie University website	Web Steering Committee	Digital Director	<a href="#">Web Governance Policy</a>	Record on file
9.6	Approve marketing campaign and execute relevant contracts, in accordance with financial expenditure delegations, at:				
	University level	VPPS			Record on file
	Faculty level	Chief Marketing Officer after consultation with Executive Dean			
Other University entities	Chief Marketing Officer after consultation with Head of Office				
9.7	Approve use of Macquarie brand on publicly accessible materials	Chief Marketing Officer		<a href="#">Brand Identity Guidelines</a>	Record on approval request

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
9.8	Approve use of Macquarie brand as part of a sponsorship agreement with a third party and execute relevant contracts	VPPS/Chief Marketing Officer after consultation with Head of Office/ Executive Dean			Record in Sponsorship Agreement

## Memorials

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
9.9	Approve memorial and tribute events and objects and execute relevant contracts, in accordance with financial expenditure delegations	Executive Director, Philanthropy after consultation with Executive Director, Property for installations on University land or buildings and the Registrar for students		<a href="#">Death of Student or Staff Procedure</a> <a href="#">Memorial Service for Serving Staff Member Protocol</a>	Record on file

## Fundraising

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
9.10	Approve naming rights and execute relevant contracts for:				
	University Chair University building and other physical assets	VC		<a href="#">Naming Recognition Policy</a> <a href="#">Donations and Fundraising Policy</a>	Report to Council
9.11	Approve establishment and disestablishment of foundations	VC			Record on file
9.12	Approve acceptance of cash gifts and bequests and execute relevant contracts for:				
	Cash donations where <u>none</u> of the following conditions apply: <ul style="list-style-type: none"> <li>The donation is towards a purpose not previously agreed by the University Executive Group</li> <li>The donation is made with significant conditions attached, which may prove difficult or costly for the University to honour</li> <li>Acceptance of the donation may put the University at reputational risk</li> </ul>	F & F > \$20M VC > \$1M and ≤ \$20M Executive Director, Philanthropy ≤ \$1M		<a href="#">Donations and Fundraising Policy</a>	Record in minutes. In all cases, record in Advancement electronic database, report to Director Campaign and Principal Gifts
	Cash donations where any of the above conditions apply	Dual approval from both the authorised role listed above and the immediate			In all cases, record in Advancement electronic database, report to Director



Ref	Function	Delegate	Authorised Agents	References	Campaign and Principal Gifts Reporting and Recording Requirements
9.13	Approve acceptance of non-cash donations:				
	Cultural Gifts (e.g. works of art and cultural artefacts)	Dual approval, both from the delegate with the financial limits specified in 9.12 and DVCA /other DVC	University Librarian	<a href="#">Investment and Treasury Risk Management Policy</a>  <a href="#">Donations and Fundraising Policy</a>	
	Non-cash financial assets (e.g. buildings, land, equity and debt investments)  Note: Such assets will only be accepted if they meet the investment parameters of the <a href="#">Investment and Treasury Risk Management Policy</a> , otherwise they will be liquidated upon receipt	Dual approval, both from the delegate with the financial limits specified in 9.12 and VPFR			
	Non-cash non-financial assets (e.g. equipment and materials)	Dual approval, both from the delegate with the financial limits specified in 9.12 and the delegate in accordance with financial expenditure delegations specified in delegation 2.5			
Liquidation of non-cash donations that have been accepted under delegation 9.13 Note: Unless stipulated to the contrary in the donor agreement or under the terms of the donations made under the Cultural Gifts Program, management has the right to liquidate non-cash donations accepted under delegation 9.13					
9.14	Cultural Gifts	VC and DVCA	University Librarian	<a href="#">Donations and Fundraising Policy</a>	
	Real Property	VPFR			
	Other non-cash financial assets (e.g. equity and debt investments)	VPFR			
	Other non-cash non-financial assets (e.g. equipment and materials)	In accordance with financial expenditure delegations specified in delegation 2.5			
9.15	Approve fundraising campaigns and initiatives and execute relevant contracts:				
	University-wide	VC		<a href="#">Donations and Fundraising Policy</a>	
	Faculties and other units	VC after consultation with Executive Dean/ Head of Office			
Controlled entities where Board approval has been obtained	VC				

## Alumni

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
9.16	Approve establishment and execute relevant contracts of:				
	University Alumni association, chapter or network in Australia	VPSPP			Report to VC
	University Alumni association, chapter or network off-shore	VPSPP after consultation with CSRO			
9.17	Approve alumni-related University activities and execute relevant contracts	Director, Global Engagement and Reputation			Record on file

## Communication

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
9.18	Approve inclusion in This Week and Macquarie broadcast communications	Relevant member of Executive Group/CIDO			Record on file
9.19	Approve media release	Relevant member of Executive Group/Chief Marketing Officer/Director Communications		<a href="#">Public Comment Policy</a>	Record on file
9.20	Approve content for corporate pages of MQU website	Chief Marketing Officer		<a href="#">Web Governance Policy</a>	Record on file

Other delegations relevant to this section: 1.1, 2.5

Definition of terms used in this section:

**Content** – The information and experience conveyed to consumers over a digital channel such as text, documents, data, applications, images, audio and video

**Fundraising campaigns and initiatives** – Major public or targeted fundraising activity with specified strategy and approved budget. This does not include meetings with potential donors, discipline or unit-based social events, alumni activities or acknowledgement activities for existing donors and benefactors

**Marketing campaign** – major public or targeted advertising spend with specified strategy and approved budget. This does not include marketing activities such as speaking to Careers Advisors or groups of potential students, providing information on request, establishing relationships with high school clubs and societies and the like

**This Week** – Electronic newsletter that is broadcast to all staff and other subscribers

**University domain name** – identifying set of letters that defines a realm of administrative autonomy, authority, or control on the Internet or on private intranets

## 10. INTERNATIONAL

Delegates must adhere to the [Delegations of Authority Policy](#) including the Principles which apply to the exercise of all delegations (refer pages 7 and 8 above).

### Policy

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
10.1	Approve policies on international activities	VPPS after consultation with VPSPP		<a href="#">Policy Framework Policy</a>	Report to Council

### Off-Shore Activities

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
10.2	Approve appointment of international agents	VPPS	CSRO	<a href="#">International Education Agent Policy</a> <a href="#">International Education Agent Management Procedure</a>	Record on agent contract file
10.3	Approve commission structures	VPFR on recommendation from CSRO		<a href="#">International Education Agent Policy</a> <a href="#">International Education Agent Management Procedure</a>	Record on agent contract file
10.4	Approve establishment of off-shore agencies	VPPS	CSRO	<a href="#">International Education Agent Policy</a> <a href="#">International Education Agent Management Procedure</a>	Record on agreement file
10.5	Establishment of off-shore offices	VPPS			Report to VC

## International Student Fees

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
10.6	Discount International student fee in form of scholarship	VC on recommendation of Revenue and Load Committee			Record on student file

## Student Exchange

Ref	Function	Delegate	Authorised Agents	References	Reporting and Recording Requirements
10.7	Approve and execute Student Exchange, Study Abroad and Dual Degree Partnership agreements	VPSPP (except as indicated below)/VPPS after consultation with VPSPP for agreements related to non-HDR student recruitment pathways and programs/ DVCR for HDR student exchanges and research dual degree arrangements	CSRO (for international agreements that relate to non-HDR student recruitment pathways and programs, i.e. study abroad and articulation agreements)	<a href="#">International Agreements Policy</a>	Record on agreement file

Other delegations relevant to this section: 1.1, 1.6, 2.46, 2.47, 5.18, 6.6, 7.8, 7.9, 9.16

## ANNEXURE A – AMENDMENT HISTORY

Version	Effective From	Approving Authority	Sections Modified	Amendment
2.0	8 December 2016	Council	Complete Review and Revision	All Sections Inclusion of Student Administration and Student Services
2.1	29 April 2017	Vice-Chancellor	Sections 1, 2, 3, 4, 5, 6, 8 and 9  Sections 2, 6, 8 and 9 to appoint authorised agents of the DVCA & R	Amendments to reflect the abolition of the role of DVC S&R and the establishment of the role of DVCA & R The appointment of authorised agents of the DVCA & R Updated urls in all sections
2.2	20 September 2017	F & F	Section 2	New Delegations for improved clarity and guidance in the areas of Banking and Debt, Investment of Funds and Non-Property Capital Asset Write-Off and Disposal Increases in authority limits for two Restricted Expenditure Types: payroll and library Amendments to reflect the abolition of the role of Director, Operations and the establishment of the roles of Director, Finance Shared Services and Director Strategic Procurement
2.3	16 December 2017	Vice-Chancellor	Sections 1,2 3, 6, 7,9 and 10	Change of COO&DVC functions to VC, DVCA & R , CFO, DHR as appropriate The appointment of authorised agents of the VC, DVCA & R and CFO Removal of Delegations 2.16 and 2.17 and renumbering of Section 2 Updated urls in HR and Finance sections
2.4	9 March 2018	Vice-Chancellor	All relevant Sections	Change of DVCA & R to DVCA or Registrar as appropriate Change of PVCS to Registrar
2.5	11 December 2018	Vice-Chancellor	Sections Acronyms, Terms and Words; 1; 2; 3;6 ; 7; 9; 10	Addition of new position VPSS DHR to VPSS 1.1 and 1.12 effective 10 December 2018 Removal of Ombudsman 6.23 effective 11 December 2018 DVCCEA to DVCE effective 1 December 2018 PVC I reporting line to DVCE effective 1 December 2018 Update of position of Director Campus Wellbeing to Manager Allied Health 6.5 effective 1 December 2018 Update of position of Director Campus Life to Registrar 9.9 effective 1 December 2018
2.6	8 May 2019	Council	Sections: Acronyms, Terms and Words; 1; 4	Inclusion of definition of Faculty to enable the change of name from Faculty of Business and Economics to Macquarie Business School, approved by Council 13 December 2018 Resolution 18/53 Inclusion of VPSS in definition of Head of Office for clarity Amendment of 1.18 to include leases (or any other real property instruments), agreements and contracts under power of attorney, approved by Council 4 April 2019 Resolution 19/09 Update to Enterprise Agreement References in Section 4 following introduction of new Academic and Professional Enterprise Agreements

Version	Effective From	Approving Authority	Sections Modified	Amendment
2.7	31 July 2019	Vice-Chancellor	All relevant Sections	<p>Inclusion of authorisations to Faculty Boards endorsed by Academic Senate 23 July 2019 Resolution 19/78 (Delegations 5.1, 5.3, 5.4, 5.5, 5.9, 6.9)</p> <p>Inclusion of Principles of delegations extracted from the Delegations of Authority Policy</p> <p>Position title updates:</p> <ul style="list-style-type: none"> <li>• CFO to VPF</li> <li>• DHR to VPHR</li> <li>• Director, Research Training and International Research Training Partnerships to Pro Vice-Chancellor, Higher Degree Research Training and Partnerships (Delegation 7.16)</li> <li>• Director Research Office to Director Research Services (Delegations 2.11, 2.12, 2.13, 2.14, 7.9, 7.11)</li> <li>• Head Governance Services to Director Governance Services and Deputy Registrar (Delegations 6.2, 6.19, 6.20, 6.22)</li> <li>• Director of Academic Services to Director Student Administration (Delegations 6.2, 6.7, 6.8, 6.9, 6.21)</li> </ul>
2.8	10 September 2019	Finance and Facilities Committee	Sections 2 and 7	<p>2.6 Restricted Expenditure recurring payroll – change to delegation limit</p> <p>2.7 Restricted expenditure coupon and bank interest – change to function and delegation limit</p> <p>2.11 – amendment of function and addition of VC and DVCR as delegates with limits (from 7.9)</p> <p>2.12 – addition of VC and DVCR as delegates with limits (from 7.9)</p> <p>2.13 – addition of VC and DVCR as delegates with limits (from 7.9)</p> <p>Delegation 2.15 added (moved from 7.9)</p> <p>New delegation 2.19 and subsequent re-numbering of the financial delegations that follow in Section 2</p> <p>2.53 (was 2.51) – amendment of function and addition of F&amp;F as a delegate with limit</p> <p>7.9 – relocation of financial delegations to Section 2 and addition of DVCR and / or PVCRI in other categories as appropriate</p> <p>7.10 – addition of PVCRI on outgoing IP</p>
2.9	6 March 2020	Vice-Chancellor	All relevant Sections	<p>Insertion of statement concerning adherence with Delegations of Authority Policy and the Principles at the beginning of each section. Acronyms, Terms and Words, 1, 2, 3, 4, 6, 9, 10:</p> <ul style="list-style-type: none"> <li>• replacement of VPHR with VPPS or Director HR as appropriate</li> <li>• replacement of VPF with VPFR</li> <li>• replacement of VPSS with VPPS or VPFR as appropriate, including for IT functions and Property functions respectively.</li> </ul> <p>Acronyms, Terms and Words – addition of General Counsel in definition of Head of Office; update to list of Faculties to remove Faculty of Human Sciences and amend name of Faculty of Medicine, Health and Human Sciences</p>

Version	Effective From	Approving Authority	Sections Modified	Amendment
				<p>1.1 – addition of approval in the area of Legal and Privacy for the General Counsel; amendment of approval for Records management policies from DVC(A) to General Counsel and Compliance policies from VPSS to General Counsel.</p> <p>2.5 – alignment of standard expenditure limits for the VPPS and VPFR with those for DVCs, as approved by F&amp;FC 11 February 2020.</p> <p>2.6 – inclusion of Director HR and uplift of delegation limits, as approved by F&amp;FC 11 February 2020.</p> <p>2.5, 2.8, 2.41, 2.42, 2.52– replacement of Director Planning and Performance with Deputy CFO effective from 4 October 2019.</p> <p>2.10 – replacement of Director Financial Control and Treasury with Deputy CFO.</p> <p>2.44 – removal of VPFR and DVCR for alignment with current practice</p> <p>2.46 – approval of fee refund for full fee paying international HDR candidates amended from DVCR to PVC HDRT&amp;P</p> <p>3.13 – delegate for matters related to privacy legislation changed from DVC(A) to General Counsel; Compliance and Privacy Manager added as Authorised Agent.</p> <p>4.25 – change to delegates in line with the Academic Promotion Policy/Procedure.</p> <p>5.3 and 5.4 – changes to wording in Agent arrangement, endorsed by Academic Senate 22 October 2019, Item 2.2 Resolution 19/106.</p> <p>5.7 – change of consultation from Executive Group to RSNPG for alignment with current practice</p> <p>1.16, 1.17, 3.8 – update of references from the Procurement Handbook to the Procurement Policy and ProcureRight Instructions.</p> <p>6.2, 6.19, 6.20, 6.22 – removal of Director Governance Services and Deputy Registrar as an Agent.</p> <p>6.5 – update of position title from Manager Allied Health to Associate Director Student Wellbeing.</p> <p>6.9 – update of position title from MUIC Academic Director to Foundation Program Manager (Foundation Programs).</p> <p>6.26 – added “University” to Discipline Committee for clarity</p> <p>Section 6 – definition of Academic Calendar clarified to remove meeting dates for Committees.</p> <p>7.10 – amended placement of PVCRI in the delegation.</p> <p>9.10 – update of reference from the Naming Rights for University Chairs (Donation or Sponsorship) <a href="#">Policy</a> to the Naming Recognition <a href="#">Policy</a>.</p> <p>10.2 – addition of PPCI as an Authorised Agent, approved by DVCE 31 October 2019.</p> <p>10.6 – change from VPF/PVCI to VC on recommendation of RSNPG in order to align approval of fee waivers with approval of fees</p>
<b>2.10</b>	18 March 2020	Vice-Chancellor	Delegation 2.5	Inclusion of Authorised Agent arrangements in place until midnight Friday 5 June 2020 for Delegates with an expenditure limit of \$50,000 or above.
<b>2.11</b>	28 May 2020	Finance and Facilities Committee	Delegation 2.5	Inclusion of CIO in Standard Expenditure delegation 2.5 with a limit of ≤\$0.5M, Resolution FFC 20/19

Version	Effective From	Approving Authority	Sections Modified	Amendment
				Inclusion of VPFR and VPPS as Authorised Agents of the CIO, Resolution FFC 20/20 Extension of Authorised Agent arrangements until midnight Wednesday 30 September, Resolution FFC 20/20
2.12	1 January 2021	Council	Section 2 Finance and Property	<ol style="list-style-type: none"> <li>1) establishment of Band Approval Levels for effect on 1 January 2021;</li> <li>2) approval of the new Delegation Limits and the reporting requirement for the Vice-Chancellor's increased expenditure for effect on 1 January 2021;</li> <li>3) rescission of the following "Restricted Expenditure Delegations" in section 2 (Finance and Property) of the Delegations of Authority Register, for effect on 1 January 2021: <ol style="list-style-type: none"> <li>a. Delegation 2.9 for recurring services and utilities (Facilities);</li> <li>b. Delegation 2.16 for voice and data communication charges;</li> <li>c. Delegation 2.17 for overseas health cover; and</li> <li>d. Delegation 2.18 for recurring services and utilities (Library);</li> </ol> </li> <li>4) rescission of the following "Major Property Capital Works" and "Property" delegations in section 2 (Finance and Property) of the Delegations of Authority Register, for effect on 1 January 2021: <ol style="list-style-type: none"> <li>a. Delegations 2.21, 2.22, 2.23, 2.24 and 2.25 for approval and execution of contracts, contract variations, purchase orders, purchase order amendments and invoices;</li> <li>b. Delegation 2.30 for remedial action where contract commitments are not being met; and</li> <li>c. Delegation 2.32 for maintenance and renovation agreements;</li> </ol> </li> <li>5) amendment of section 5.4 in the Delegations of Authority Policy and the Notes in section 2 (Finance and Property) of the Delegations of Authority Register to remove the ability for Delegates to appoint Authorised Agents for any financial expenditure delegations</li> <li>6) amendment of the following "Restricted Expenditure Delegations", "Fees and Charges" and "Banking and Debt Delegations" in section 2 (Finance and Property) of the Delegations of Authority Register, effective immediately: <ol style="list-style-type: none"> <li>a. Delegation 2.7 increasing limits for payment of coupon and interest in respect of the University's debt facilities, deleting the Deputy Vice-Chancellors and adding the Deputy Group CFO;</li> <li>b. Delegation 2.8 increasing limits for payments to controlled entities, adding a delegation for the Vice-Chancellor and a delegation for the Finance and Facilities Committee;</li> <li>c. Delegation 2.42 increasing limits for transfer of operating funds between the University's investment accounts;</li> <li>d. Delegation 2.45 adding the Registrar as a delegate, and removing the Registrar as an authorised agent;</li> <li>e. Delegation 2.46 adding the PPCI as a delegate, and removing the PPCI as an authorised Agent;</li> <li>f. Delegation 2.51 adding the Deputy Group CFO as a delegate to open and close bank accounts and credit card facilities; and</li> <li>g. Delegation 2.56 adding the Vice-President, Finance and Resources as a delegate to approve transactions permitted under existing borrowing agreements;</li> </ol> </li> </ol>



Version	Effective From	Approving Authority	Sections Modified	Amendment
				<p>7) creation of a new delegation under “Student Loans” in section 2 (Finance and Property) adding the Vice-President, Finance and Resources as a delegate to approve payment plans for tuition fees, effective immediately; and</p> <p>8) other administrative amendments to the Delegations of Authority Policy and Register as recommended by the Director, Governance Services effective immediately.</p>
<b>2.13</b>	19 February 2021	Council	<p>Section 1 Corporate, Legal and Commercial, Corporate Governance</p> <p>Section 2 Finance and Property, Investment Funds</p>	1.9 and 2.31 – Addition of authorities regarding Access Macquarie Limited Update to name of policy – Investment and Treasury Risk Management Policy
<b>2.14</b>	28 September 2021	Finance and Facilities Committee	Section 2	<p>2.6 – addition of Deputy Director, HR and Executive Director, Shared Services</p> <p>2.8 – addition of Director, Financial Operations and Director, Financial Performance and Business Partnering with limit of ≤\$3M</p> <p>2.15 – increase of limit for VPFR and VPSS from ≤\$30M to ≤\$40M</p> <p>2.31 – addition of Director, Financial Operations and Director, Financial Performance and Business Partnering with limit of ≤\$7.5M</p> <p>2.32 – addition of Director, Financial Operations and Director, Financial Performance and Business Partnering with limit of ≤\$20M</p> <p>2.42 – addition of Director, Financial Operations and Director, Financial Performance and Business Partnering with limit of ≤\$7.5M</p> <p>2.8, 2.31, 2.32 and 2.42- Amendment of title from Director Financial Control &amp; Treasury to Director, Financial Control Tax &amp; Treasury</p>
<b>2.14a</b>	16 December 2021	Vice-Chancellor	Acronyms, Terms and Words and all relevant Sections	<p>Removal of references to Deputy Vice-Chancellor (Engagement), Macquarie International and Workplace Health and Safety (Section: Acronyms, Terms and Words)</p> <p>Replacement of PPCI Pro Vice-Chancellor (International) with CSRO Chief Student Recruitment Officer (Global and Domestic)</p> <p>Amendment of title Deputy Chief Financial Officer to Deputy Group Chief Financial Officer</p> <p>Amendment of title Pro Vice-Chancellor, Research and Innovation to Pro Vice-Chancellor (Research, Innovation and Enterprise)</p> <p>Amendment of title Pro Vice-Chancellor (HDR Training and Partnerships) to Pro Vice-Chancellor (Graduate Research)</p> <p>Amendment of title Director, Research Services to Executive Director, Research Services</p> <p>Amendment of title Director, Alumni to Director, Global Engagement and Reputation</p> <p>Amendment of title Director, Campaign and Principal Gifts to Executive Director, Philanthropy</p> <p>Addition of Vice-President, Strategy, Planning and Performance as relevant</p> <p>Change of responsibilities for Campus Life from VPPS to VPFR; for Corporate Engagement from DVCE to DVCR; for Advancement from DVCE to VC; for Alumni from DVCE to VPSPP; for Marketing and communication from DVCE to VPPS; for</p>

Version	Effective From	Approving Authority	Sections Modified	Amendment
				<p>International activities from DVCE to VPPS; for Workplace Health and Safety from VPPS to VPFR.</p> <p>Amendment of name from Macquarie University International College (MUIC) to Macquarie University College (The College)</p> <p>Amendment of name from Revenue and Student Numbers Planning Group (RSNPG) to Revenue and Load Committee</p> <p>Delegation 1.6 for joint ventures amended from DVC(A) to DVC</p> <p>Delegation 2.48 removal of Dean from Executive Dean / Dean/ Head of Office; addition VPPS and VPSPP as delegates</p> <p>Delegation 6.3 update of policy title from Student Experience Surveying Policy to Student Survey Policy</p> <p>Delegations 10,2, 10.3, and 10.4 – addition of References to <a href="#">International Education Agent Policy</a> and <a href="#">International Education Management Procedure</a></p>
2.15	7 April 2022	Council	Principles and all relevant sections	<p>Amendment of title Faculty General Manager to Faculty Executive Director</p> <p>Amendment of title Group Director, Strategic Procurement / Director, Strategic Procurement to Chief Procurement Officer</p> <p>Amendment of title Chief Information Officer to Chief Information and Digital Officer</p> <p>Delegations 1.20, 1.21, 4.15, and 4.16 – update of policy title from Outside Work Policy to Private Outside Work and University Consultancy Policy</p> <p>Delegation 3.2 – update of policy title from Network Policy to Cyber Security Policy and Computer and Network Security Procedure</p> <p>Section 5:</p> <ul style="list-style-type: none"> <li>Faculty Board as Authorised Agent in previous Delegations 5.1 to 5.9 moved to Delegate as new delegations 5.4 to 5.13 and 5.15;</li> <li>Previous Delegation 5.10 and 5.11 amalgamated with the Delegate for change of grade after ratification now being relevant Faculty Board rather than DVC(A); and</li> <li>Delegation 5.16 (previously 5.6) now includes approval of PACE and Capstone units with Delegate being Academic Senate and the Authorised Agent being the Academic Standards and Quality Committee.</li> </ul> <p><i>Note: A typographical error involving duplicate delegation 5.2 has been corrected and the numbering in the above description amended accordingly.</i></p> <p>Delegation 6.22 – update of policy title from Complaint Management Procedure for Students and Members of the Public to Complaints Resolution Policy for Students and Members of the Public</p> <p>Section 7 Notes – references to Delegations in Section 5 updated</p> <p>Section 10 Notes – references to Delegations in Section 5 updated</p> <p><i>References to Public Finance and Audit Act 1983 replaced with Government Sector Finance Act 2018 (2 June 2022)</i></p>

Version	Effective From	Approving Authority	Sections Modified	Amendment
2.16	30 June 2022	Council	Section 1 Corporate, Legal and Commercial, Corporate Governance	<p>1.9 – removal of authority for companies in which Access Macquarie Limited holds an equity position to exercise rights and obligations as a shareholder</p> <p>1.10 – amended to reference appointment of a proxy of the University to exercise shareholder rights and obligations</p> <p>1.11 – new delegation for companies in which Access Macquarie Limited holds a shareholding to exercise shareholder rights and obligations</p> <p>1.11 to 1.22- renumbered to 1.12 to 1.23</p> <p>Consequent amendment to Delegation 2.5 to reference Delegation 1.18 rather than 1.17.</p>
2.17	27 September 2022	Council 18 August 2022	1.19 – Power of Attorney	<p>1.19 – addition of positions Vice-President, Professional Services and Chief People Officer and removal of positions Vice President, People and Services and Director, HR from the Power of Attorney provisions following approval of the new Power of Attorney register by Council at their meeting of 18 August 2022 as executed on 19 September 2022 (CNL 22/45).</p> <p>Acronyms Terms and Words – consequential amendment of the definition for the acronym VPPS from Vice President, People and Services to Vice-President, Professional Services and update of title from Vice President, People and Services to Vice-President, Professional Services under the Executive Group category.</p>
		Finance and Facilities Committee 27 September 2022	2.6, 2.8, 2.31, 2.32, and 2.42	<p>2.6 – addition of Chief People Officer, removal of Director, HR and Deputy Director, HR; addition of Head of HR Shared Services; amendment of title from Manager, Human Resources Client Relationships to Manager, HR Client Services; removal of Manager, Business Improvement, HR. (FFC 22/23)</p> <p>2.8, 2.31, 2.32, and 2.42 - amendment of title from Director, Financial Control Treasury and Tax to Director, Financial Control; amendment of title from Director, Financial Operations to Director, Financial Operations, Tax and Treasury. (FFC 22/23)</p>
			All relevant sections – replacement of Director, HR with Chief People Officer	<p>Acronyms Terms and Words and all relevant sections – consequential replacement of Director, HR with Chief People Officer.</p> <p>Amendment of numbering from clause 4.41 onwards as 4.41 appeared twice.</p>
2.17a	28 September 2022		1.19 – Power of Attorney	<p>1.19 – asterisks and note regarding the Vice-President, Professional Services and Chief People Officer in the Power of Attorney provisions as captured in version 2.17 removed given the formal registration of the new provisions with Land Registry Services NSW on 28 September 2022.</p>
2.18	23 February 2023	Council 23 February 2023 (CNL 23/03)	Principles	<p>Update of title Director, Property to Executive Director, Property in:</p> <ul style="list-style-type: none"> <li>Delegate band approval levels table in Principles section;</li> </ul>

Version	Effective From	Approving Authority	Sections Modified	Amendment
				<ul style="list-style-type: none"> <li>• Delegation 2.5, including the Notes; and</li> <li>• Delegation 2.18</li> </ul> <p>Addition of University Librarian as a Delegate in Band C+ to :</p> <ul style="list-style-type: none"> <li>• Delegate band approval levels table in Principles section; and</li> <li>• Delegation 2.5, including the Notes.</li> </ul>
2.19	22 May 2023	Vice-Chancellor	All relevant sections	<p>Acronyms, Terms and Words - addition of positions Chief People Officer, and Pro Vice-Chancellor (Research Services), and amendment of acronym of position Pro Vice-Chancellor (Research, Innovation and Enterprise).</p> <p>Removal of all references to specific clauses within Referenced documents, due to inconsistencies, and to promote the reading of relevant clauses within the context of the Reference document.</p> <p>1.6 - Removal of inaccurate Reference to the Contract Management Policy and the International Agreements Policy.</p> <p>1.17 - Removal of inaccurate Reference to the Contract Management Policy.</p> <p>Replacement of the Contract Management Policy with the Supplier Contracts Policy in all relevant sections.</p> <p>Replacement of Executive Director, Research Services, with Pro Vice-Chancellor, Research Services (PVC RS) in all relevant sections.</p> <p>Removal of the ampersand in the acronym for PVCRI&amp;E in all relevant sections.</p> <p>2.28 - Replacement of the Fee Rules with the Tuition Fees and Charges Rules.</p> <p>2.34 - Amendment to Function to remove “and charges” after “tuition fees”; and replacement of Tuition Fee Setting Policy with Tuition Fees and Charges Rules.</p> <p>Section 4 - Removal of the acronym EAS and replacement with the full title (Macquarie University Enterprise Agreements) at Section 4.</p> <p>4.17 – 4.21 - Removal of References to suite of leave policies and procedures at 4.17-4.21 including:</p> <ul style="list-style-type: none"> <li>Annual Leave Policy;</li> <li>Education Leave Policy;</li> <li>Long Service Leave Policy;</li> <li>Other Leave Policy;</li> <li>Personal Leave Policy;</li> <li>Leave without Pay Procedure;</li> <li>Long Service Leave Procedure;</li> <li>Special Leave Procedure; and</li> <li>Annual Leave Procedure.</li> </ul>

Version	Effective From	Approving Authority	Sections Modified	Amendment
				<p>4.17 – 4.21 - Inclusion of Reference to the Leave Policy, which replaces the above listed leave policies and procedures at 4.17-4.21.</p> <p>5.21 – Replacement of Faculty Rules with the Faculty Board Terms of Reference.</p> <p>6.9 - Inclusion of Assessing Recognition of Prior Learning Applications Procedure, and removal of Recognition of Prior Learning Assessment Procedure at 6.9.</p> <p>6.23 – 6.31 – Replacement of Student Discipline Procedure with the Student Conduct Procedure.</p> <p>6.24 – 6.26, 6.30-6.31 - Replacement of University Discipline Committee with Student Conduct Committee.</p> <p>6.29 and 6.31 - Replacement of University Discipline Committee Appeals Panel with Student Conduct Appeal Committee.</p> <p>7.3 - Replacement of Research Indirect (Overheads) Costs Policy with the Research Overheads and Infrastructure Costs Policy.</p> <p>7.24 - Acronym HDR spelt out in full for consistency with the Referenced Policy name.</p> <p>9.13 – Replacement of the Donations and Fundraising Policy and Procedures with the Donations and Fundraising Policy.</p>
<b>2.20</b>	22 June 2023	Council	Section 5 Academic Matters	At its meeting of 22 June 2023, the Macquarie University Council approved amendments to support the establishment of the Macquarie University College Board (CNL 23/22).
<b>2.21</b>	25 July 2023	Finance and Facilities Committee	Section 2 Finance and Property	At its meeting of 25 July 2023, the Finance and Facilities Committee, under the delegated authority of the Macquarie University Council, approved amendments pertaining to research funding and grant approval delegations.

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